

#### TITLE: Perceval S Sutureless Valve for Aortic Valve Replacement: A Review of the Clinical Effectiveness, Safety, and Cost-Effectiveness

**DATE: 29 January 2015** 

# **CONTEXT AND POLICY ISSUES**

Degenerative aortic stenosis, with stiffening and calcification of the aortic valve leaflets and narrowing of the aperture, affects an estimated 3% of people aged 75 years and over.<sup>1</sup> Prior to the development of methods for replacing a stenotic aortic valve with a prosthesis, the mean survival once symptoms of angina or insufficient cardiac output developed was two years.<sup>2</sup> Valve replacement substantially improves survival; however approximately one third of patients are considered not to be suitable candidates for standard surgical approaches because of anatomic abnormalities, past thoracic surgery or radiation, comorbidities, or overall frailty.<sup>1</sup> For one recent trial of aortic valve replacement via catheter, inoperable patients were those with greater than 50% risk of death or disability from surgery; in this severe group, one-year mortality with standard management was 50%.<sup>3</sup> Authors of a previous CADTH review estimated the number of people with aortic stenosis in the Canadian population to be 62,060,<sup>1</sup> with 20,000 considered not suitable candidates for surgery.

Transcatheter aortic valve implantation (TAVI) was initially developed as a palliative measure for patients considered inoperable.<sup>3,4</sup> It involves implanting a replacement bioprosthetic valve within the native valve via a catheter threaded through the arterial vasculature.<sup>3</sup> The native valve is dilated and left in position. TAVI has been extremely successful and widely adopted, and is now being offered to patients who might otherwise be considered for surgical valve replacement.<sup>3,4</sup> However, not all patients are candidates for TAVI due to abnormalities of the aortic valve or root, and TAVI carries with it an increased risk of stroke.<sup>5</sup> A substantial proportion of patients who are potential candidates for valve replacement also require additional procedures (eg, for other valve disease, myocardial revascularization, or repair of the aortic root). TAVI may be paired with myocardial revascularization by percutaneous coronary intervention, but other procedures, such as coronary artery bypass grafting or valve repair, require surgical intervention.

# Sutureless aortic valve replacement, where the diseased valve is surgically excised but the implanted valve is mounted on a TAVI-valve-like stent and therefore does not need to be

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sutured in place, is being explored as an approach to reducing the impact of surgery.<sup>6</sup> By removing the need for suturing, the surgery can be completed through a smaller incision, avoiding sternotomy and potentially shortening the overall duration of surgery and the length of time that the patient needs to be on artificial ventilation and on cardiopulmonary bypass. Surgical valve implantation also allows other surgical interventions to be performed as part of the same procedure, avoiding the need for successive operations.

The Perceval S sutureless valve consists of a bioprosthetic valve mounted on a self-expanding nitinol stent. It received European regulatory approval in January 2013,<sup>7</sup> and is currently undergoing US registration trials.<sup>8</sup> In Canada it is available on a named-patient basis through the Health Canada special access program. This report reviews the evidence for the effectiveness, safety and cost effectiveness for the Perceval S sutureless valve for patients with aortic stenosis.

#### **RESEARCH QUESTIONS**

- 1. What is the clinical effectiveness and safety of the Perceval S sutureless valve for patients requiring aortic valve replacement?
- 2. What is the cost-effectiveness of the Perceval S sutureless valve for patients requiring aortic valve replacement?

#### **KEY FINDINGS**

Based on preliminary results from single-arm studies and small non-randomized comparisons with alternative methods for valve replacement, sutureless valve implantation has a high initial success rate, with low rates of in-hospital death, strokes, endocarditis, and renal failure. Rates of paravalvular regurgitation and heart arrhythmias leading to pacemaker implantation are raised compared to standard surgical valve implantation. However, long-term evidence on valve stability, durability, and safety are lacking, and criteria for selecting the best procedure for patients who are also potential candidates for conventional surgery or TAVI.

#### **METHODS**

#### **Literature Search Methods**

A limited literature search was conducted on key resources including PubMed, The Cochrane Library (2014, Issue 12), University of York Centre for Reviews and Dissemination (CRD) databases, and Canadian and major international health technology assessment agencies, as well as a focused Internet search. No filters were applied to limit the retrieval by study type. Where possible, retrieval was limited to the human population. The search was also limited to English language documents published between January 1, 2009 and January 16, 2015.

#### **Selection Criteria and Methods**

One reviewer screened citations and selected studies. In the first level of screening, titles and abstracts were reviewed and potentially relevant articles were retrieved and assessed for inclusion. The final selection of full-text articles was based on the inclusion criteria presented in Table 1.

	Table 1: Selection Criteria
Population	Patients currently receiving trans-catheter aortic valve implantation
	(TAVI)
	Patients at high risk for aortic valve replacement
Intervention	Perceval S Sutureless Valve
Comparator	Any comparator or none
Outcomes	Clinical benefit (reduced risk of stroke, ease of implantation, reduced pump time and cross-clamp time, reduced number of patients waiting for TAVI, improved hemodynamic performance) Clinical harm (complication rates, post-operative migration) Cost effectiveness
Study Designs	HTAs, systematic reviews, meta-analyses, randomized controlled trials, non-randomized studies

#### **Exclusion Criteria**

Articles were excluded if they did not meet the selection criteria outlined in Table 1, they were in languages other than English, they were duplicate publications, or were published prior to 2009. Studies that had been previously described in systematic reviews were not included separately.

#### **Critical Appraisal of Individual Studies**

The included systematic reviews were critically appraised using AMSTAR.<sup>9</sup> Case series were appraised using the checklist by Moga, 2012,<sup>10</sup> and non-randomized studies using propensity-matching were appraised using the criteria described by Austin, 2008.<sup>11</sup>

Summary scores were not calculated for the included studies; rather, a review of the strengths and limitations of each included study were described.

#### SUMMARY OF EVIDENCE

Rapid Response reports are organized so that the evidence for each research question is presented separately.

Appendix 1 includes the PRISMA flowchart of the study selection. Study characteristics, critical appraisal, and study findings are summarized in Appendices 2, 3, and 4, respectively. Additional studies of interest are included in Appendix 5.

#### **Quantity of Research Available**

A total of 414 citations were identified in the literature search. Following screening of titles and abstracts, 377 citations were excluded and 37 potentially relevant reports from the electronic search were retrieved for full-text review. Four potentially relevant publications were retrieved from the grey literature search. Of these potentially relevant articles, 27 publications were excluded for various reasons, while 14 publications met the inclusion criteria and were included in this report (Appendix 1).

A non-systematic search identified abstracts presented at the European Association for Cardio-Thoracic Surgery conference, October 2014, which extended the available information on the European pivotal trials. These are summarized in Appendix 5.

#### **Summary of Study Characteristics**

#### Study Design

One systematic review with meta-analysis,<sup>12</sup> two English language HTAs,<sup>13,14</sup> and a single rapid review<sup>15</sup> were identified on the topic of sutureless aortic valve replacement, each of which reviewed *all* commercially available types of sutureless valves, up to three (Appendix 2 Table 2A). Perceval S was the most widely used.

No RCTs compared implantation of Perceval S sutureless bioprosthesis with alternative management. Complete results for three prospective, single arm, multicentre European registration trials (Perceval Pilot, Perceval Pivotal, and Perceval Cavalier) have been reported only in abstract (Appendix 5), and as a subgroup analysis of 243 patients who underwent concomitant procedures.<sup>16</sup>

Twenty additional full-text articles describe results of individual sites and groups of sites from these trials. These articles had discernable but often poorly-documented overlap with each other and with the registration studies. The more recently published, larger series also include additional patients who received implants after European regulatory approval as part of routine practice. They are therefore treated here as case series, with the most recent and largest summarized. Articles that were included in a systematic review, or that represented a previous publication or a subset of a series included in a systematic review, were excluded. Following these exclusions, and with the addition of reports of post-marketing and North American studies, four reports of case series remained concerning Perceval S in aortic stenosis: Mazine, 2015,<sup>17</sup> König, 2014,<sup>18</sup> Michelena, 2014,<sup>19</sup> and Rubino, 2014.<sup>20</sup>

The case series also contributed patients to five non-randomized studies that reported comparisons of sutureless aortic valve replacement (SU-AVR) with the Perceval S valve with sutured surgical valve replacement (AVR, 4 studies)<sup>21-24</sup> and/or TAVI (3 studies).<sup>21,24,25</sup> In all but one,<sup>21</sup> the cohort was created by propensity-score matching (Appendix 2 Table 2C).

#### Relationship between case series and comparative studies

The case series by Rubino, 2014,<sup>20</sup> reported in-hospital and short term follow-up on 314 patients at five European centres, two of which participated in Perceval registration studies (Klinikum Nürnberg, Nürnberg, Germany; University Hospital Leuven, Leuven, Belgium) and three did not. The same authors, with the addition of a sixth centre, compared the in-hospital results for SU-AVR versus TAVI in a propensity matched analysis for 374 patients(Biancari, 2015).<sup>25</sup> In collaboration with a second Perceval Cavalier site in Münster, Germany, Klinikum Nurnberg authors conducted two propensity-matched comparisons of Perceval S versus surgical valve implantation(Pollari, 2014),<sup>23</sup> and Perceval S versus TAVI (Santarpino, 2014)<sup>26</sup>; the latter study was included in the Phan, 2014 systematic review and meta-analysis, and therefore excluded.<sup>12</sup>

Micelli, 2014,<sup>27</sup> published longer term follow-up for 281 patients treated in Massa, Italy and Klinikum Nürnberg, Germany. The latter also contributed to the series described by Rubino,

2014, as described above; the extent of duplication is unknown. The patients from Massa, Italy, were also included in a two-center propensity matched comparison of sutureless versus sutured valve implantation (Gilmanov, 2014),<sup>22</sup> at this and another site in Italy, and an eight-centre retrospective comparison with propensity matching of Perceval S sutureless valve versus surgical valve replacement versus TA-TAVI (D'Onofrio, 2013).<sup>24</sup>

### Country of Origin

The published evidence comes almost exclusively from centres within Europe (Germany, Italy, Sweden, Belgium, Finland), as the device has yet not been licensed in other jurisdictions, with the exception of one Canadian multicentre study of 214 patients<sup>17</sup> who received Perceval S under a special access program and a preliminary report of 8 patients from a US registration trial.<sup>19</sup>

#### Patient Population

The first two Perceval S registration studies selectively recruited patients aged 75 years and over; and the third recruited patients aged 65 years and over.<sup>6</sup> This selection was reflected in the mean age of the patients in the pooled studies (Appendix 5), 78 years, in the meta-analysis, 77.3 years,<sup>12</sup> and the later case series, the lowest of which was 77.9 years.<sup>20</sup> The majority of patients were female, with a weighted mean of 61% in the meta-analysis,<sup>12</sup> and proportions ranging from 54%<sup>17</sup> to 86%<sup>18</sup> in the case series. According to the EuroSCORE, surgical risk (perioperative death or major disability) in the meta-analysis was 11.7%, and in the case series ranged from 7.2%,<sup>17</sup> to 12.1%.<sup>16</sup> Proportions of comorbidities, diabetes, renal and respiratory diseases, and previous cardiovascular procedures were high, as expected for an elderly population.

#### Interventions and Comparators

With the exception of one study, all patients received a Perceval S sutureless bioprosthesis; the exception was a propensity-matched analysis which a minority of patients (6%) received another sutureless valve.<sup>22</sup> Surgical approaches varied: in the meta-analysis, 20.1% patients underwent a ministernotomy, 16.8% a minithoracotomy, and 64% conventional full sternotomy, while in the case series the corresponding ranges were 6%<sup>16</sup> to 41.7%,<sup>20</sup> 0<sup>16</sup> to 11%,<sup>17</sup> and 55%<sup>20</sup> to 94%.<sup>16</sup> A substantial proportion underwent concomitant procedures; in the meta-analysis, 26.8% had coronary artery bypass grafting (CABG);<sup>12</sup> while in the case series the proportion undergoing CABG ranged from 25%<sup>19</sup> to 75%.<sup>16</sup> The latter study was a subgroup in which all patients underwent a concomitant procedure.

Two retrospective non-randomized studies compared surgical aortic valve replacement with the Perceval S valve with conventional sutured aortic valve replacement and with TAVI,<sup>21,24</sup> one with TAVI by all access routes,<sup>21</sup> and one with TAVI only by the transapical access route.<sup>24</sup> Two studies compared SU-AVR with surgery alone,<sup>22,23</sup> and one study compared SU-AVR with TAVI alone.<sup>25</sup>

#### Outcomes

Single arm studies reported mortality associated with the procedure in the form of perioperative, in-hospital, and 30-day mortality, and procedure-relevant adverse events of reintervention for valve displacement, paravalvular regurgitation or leak (PVR), or bleeding, need for renal replacement therapy, new arrhythmias, particularly those requiring pacemaker implantation, stroke/TIA, myocardial infarction, and endocarditis. Operative parameters included operating time, aortic cross-clamping (ACC) time and time on cardiopulmonary bypass (CPB). In follow-up, studies reported overall survival, and rates of cardiac death or valve related mortality, reoperation, stroke, or endocarditis, or alternatively, freedom from these endpoints.

Studies that involved a comparison reported proportions for in-hospital/30 day mortality, reoperation for bleeding, stroke, MI, atrioventricular (AV) block and/or pacemaker implantation, and acute renal failure or renal replacement therapy. Three studies<sup>21-23</sup> compared mortality in longer term follow-up.

#### Cost effectiveness

No cost-effectiveness studies were identified. One study<sup>23</sup> compared calculated costs for SU-AVR with TAVI for their propensity-matched cohort.

#### **Summary of Critical Appraisal**

The evidence summaries consisted of a well-conducted systematic review, two rapid reviews in which various methodological compromises were made, and an HTA whose objective and methods were not described (Appendix 3, Table 3A). Two of the studies involved a comprehensive search, only one clearly stated that there was duplicate data selection. Three of the four provided a table of characteristics. Only one formally assessed and tabulated the scientific quality of the individual studies but all mentioned limitations of the evidence in formulating conclusions. The best-quality systematic review was also the most recent,<sup>12</sup> and has been given the most weight in this review.

In general, the case series were well-conducted and described (Appendix 3 Table 3B). Most were retrospective analyses of prospectively recruited patients, and all were conducted at multiple centres, with appropriate inclusion/exclusion criteria, description of interventions and surgical cointerventions (but not antithrombotic therapy). Length of follow-up was given, although loss to follow-up was not clearly described in some of the series. The outcome measures were standard for valve interventions.

In the propensity-matched comparisons, the methods for matching were described in only two of the four studies (Appendix 3 Table 3C), and only one indicated whether matching was with or without replacement. All reports included a table of baseline characteristics between the two matched groups. Standard statistical tests were used to detect for difference between individual characteristics, which is not recommended.<sup>11</sup> Only one study used paired statistical tests to assess difference between all outcomes, while one used them for continuous but not categorical outcomes. The incomplete description of the method of matching and the lack of appropriate testing for overall balance means that we cannot be certain whether observed differences are due to baseline differences rather than treatment effects.

#### **Summary of Findings**

1. What is the clinical effectiveness and safety of the Perceval S sutureless valve for patients requiring aortic valve replacement?

#### Single arm studies

*Intraoperative ACC and CPB.* For all patients in the meta-analysis of sutureless valve studies, the weighted mean ACC was 45 min,<sup>12</sup> with a range from 22 to 70 minutes in individual studies. For isolated SU-AVR, mean ACC in the meta-analysis was 33 min, and in the later case series, 37.3<sup>18</sup> to 40.5<sup>17</sup> min. For combined procedures in the case series, the mean ACC duration was 50.7<sup>16</sup> to 69.6<sup>17</sup> minutes.

For all patients in the meta-analysis of sutureless valve studies, the weighted mean CPB was 73 min,<sup>12</sup> with a range from 46 to 111 min for individual studies. For isolated SU-AVR, mean CPB in the meta-analysis was 57 min, and in the later case series 56.6<sup>17</sup> to 66 min.<sup>20</sup> For combined procedures in the case series, the mean CPB duration was 74.8<sup>18</sup> to 88.7 min.<sup>17</sup>

*Perioperative safety.* Perioperative (to 30 days) or in-hospital mortality in the meta-analysis of sutureless valve studies was 2.1%<sup>12</sup> and in the case series ranged from none<sup>18,19</sup> to 4%.<sup>17</sup> Where isolated AVR was reported separately from AVR with concomitant procedures, mortality was higher in the latter.<sup>20</sup>

Reoperation for bleeding was needed in  $1.2\%^{12}$  of patients in the meta-analysis and in  $2.5\%^{20}$  to  $7.1\%^{18}$  of patients in the case series. One series reported that valve explantation or revision was not required,<sup>17</sup> while in two others it was required in  $0.5\%^{28}$  to  $2.1\%^{16}$  of patients. Severe PVR was the most common reason for explantation. PVR of mild or greater degree was reported in  $3.0\%^{12}$  of patients in the meta-analysis, and none<sup>19</sup> to  $12.7\%^{20}$  patients in the case series, although studies were not consistent as to when and how PVR was measured.

Perioperative stroke was reported in 1.5%<sup>12</sup> of patients in the meta-analysis and in none<sup>19</sup> to 7.1%<sup>18</sup> (representing one patient in a small study) of patients in the case series. Myocardial infarction occurred in 0<sup>20</sup> to 0.8%<sup>16</sup> patients. Endocarditis was reported for 2.2% of patients in the meta-analysis,<sup>12</sup> was not reported in two case series,<sup>17,20</sup> and in the other one occurred in 0.4%<sup>16</sup> of patients. In the meta-analysis, the rate of new pacemaker implantation was 5.6%,<sup>12</sup> while in the case series, implantation ranged from 5.9%<sup>16</sup> to 37.5%.<sup>19</sup> The range across the studies may reflect regional variation in pacemaker criteria.

In the meta-analysis, the rate of renal failure was 1.2%,<sup>12</sup> while in the case series that reported it rates of renal failure or need for renal replacement therapy were 1.6%<sup>20</sup> and 2%.<sup>17</sup>

*Longer-term survival.* In the meta-analysis of all sutureless valves, pooled one-year mortality over 11 studies was 4.9%.<sup>12</sup> Later studies of Perceval S valve reported post-discharge follow-up of a median 0.9 years<sup>20</sup> and a mean 444 days (1.2 years),<sup>16</sup> the latter in a subset of patients from the pooled registration trials who had undergone concomitant procedures. Kaplan-Meier estimates for survival were, at 1 year 90.5%,<sup>20</sup> and at 2 years 86.4%<sup>16</sup> and 87%.<sup>20</sup> One-year valve-related survival was estimated at 99.0%.<sup>20</sup>

*Other longer-term outcomes.* Freedom from reoperation at one year was 98.3%.<sup>20</sup> One year freedom from stroke was 98.1%,<sup>20</sup> and one year freedom from endocarditis, 99.2%.<sup>20</sup>

*Hemodynamic outcomes.* In the meta-analysis of all sutureless valves, the mean aortic valve gradient (AVG) at discharge was 11.13 mmHg,<sup>12</sup> while case series reported mean AVGs of 13.3<sup>17</sup> and 13 mmHg<sup>18</sup> measured prior to discharge. Mean peak AVG at discharge was 19.6 mmHg for the meta-analysis,<sup>12</sup> and at pre-discharge was 24.5<sup>17</sup> and 24.8 mmHg in the case

series. Mean AVA at discharge was 1.77 cm<sup>2</sup> in the meta-analysis,<sup>12</sup> and 1.56 cm<sup>2</sup> in one case series.<sup>16</sup>

At one year follow-up, mean AVG in the meta-analysis was 9.6 mmHg,<sup>12</sup> and in one case series mean AVG was 8.9 mmHg.<sup>16</sup> Mean peak AVG in the meta-analysis was 17.3 mmHg,<sup>12</sup> and in the case series, 17.5 mmHg.<sup>16</sup> Mean AVA was 1.73 cm<sup>2</sup> in the meta-analysis,<sup>12</sup> and in the case series 1.6 cm<sup>2</sup>.<sup>16</sup>

#### <u>Comparative studies: Sutureless aortic valve implantation versus surgical aortic valve</u> <u>implantation</u>

For two of the studies that compared overall survival for SU-AVR versus surgical AVR in followup, the survival estimates were similar, 96% versus 95% at 10 months<sup>22</sup> and 97.6% versus 96.2% at 13 months.<sup>23</sup> For the third study the two-year survival was 89.5% versus 83.8%, which was not statistically significant.<sup>21</sup>

Comparisons of periprocedural adverse events are summarized in Table 1. In-hospital mortality tended to be slightly higher for surgical AVR. There was no consistent trend in reoperation for bleeding, stroke, or MI, but rates of PVR were substantially higher with Perceval S SU-AVR than with sutured AVR.

Endpoint	Mune 201	eretto, I 5 <sup>21</sup>		anov,  4 <sup>22</sup>	Pollari,	2014 <sup>23</sup>		nofrio, 13 <sup>24</sup>
	SU-	AVR	SU-	AVR	SU-	AVR	SU-	AVR
	AVR	N=55	AVR	N=133	AVR	N=88	AVR	N=112
	N=53		N=133		N=88		N=31	
In-hospital/30 day	0	0	0.8	1.5	2.4	3.7	0	1.8
mortality, %	7 5	40.5			0.4	0.4		
Reexploration for	7.5	10.5	6.8	3.8	2.4	6.1	NR	NR
bleeding, %								
PVR, %	1.9	0	NR	NR	NR	NR	19.4	1.0
Stroke, %	0	1.8	NR	NR	3.7	7.3	0	0
MI, %	0	0	1.5	0	NR	NR	0	0.9
AV block/	2	1.8	NR	NR	6.1	8.5	3.2	0.9
pacemaker, %								
ARF/renal	7.5	12.7	NR	NR	NR	NR	3.2	0
replacement, %								

 TABLE 1
 Proportion of patients with adverse events in comparative non-randomized studies, Perceval S versus sutured aortic valve

ARF = acute renal failure; AV = atrioventricular; AVR = aortic valve replacement; MI = myocardial infarction; NR = not reported; PVR = paravalvular regurgitation; SU-AVR = sutureless aortic valve replacement.

#### Comparative studies: Sutureless aortic valve implantation versus TAVI

In-hospital mortality tended to be numerically higher in TAVI than for SU-AVR, which may represent a difference in baseline risk, since patients at high operative risk tend to be referred for TAVI.<sup>25</sup> Mortality ranged from 0<sup>21,24,26</sup> to 1.4%<sup>25</sup> in SU-AVR patients, and from 1.8%<sup>21</sup> to 6.9%<sup>25</sup> for TAVI. TAVI was associated with lower rates of periprocedural bleeding.

Comparisons of periprocedural adverse events are summarized in Table 2. There was no consistent trend across studies in rates of stroke, MI, or acute renal failure/renal replacement therapy. Rates of PVR were substantially higher in all studies for SU-AVR than for AVR, and rates of pacemaker implantation tended to be higher.

TABLE 2	Proportion of patients with adverse events in comparative non-randomized
	studies, Perceval S versus TAVI

Endpoint	Biancari, 2015 <sup>25</sup>		Muneretto, 2015 <sup>21</sup>		D'Onofrio, 2013 <sup>24</sup>	
	SU-AVR	TAVI	SU-AVR	TAVI	SU-AVR	TAVI
	N=144	N=144	N=53	N=55	N=31	N=143
In-hospital/30 day mortality, %	1.4	6.9	0	1.8	0	7
Reoperation for bleeding, %	4.2	0	7.5	0	NR	NR
PVR, %	2.8	53.5	1.9	9	19.4	28.7
Stroke, %	0	2.1	0	0	0	2.8
MI, %	0	0	0	1.8	0	3.5
AV block/ pacemaker, %	11.2	15.4	2	25.5	3.2	4.9
ARF/renal replacement, %	2.1	0	7.5	9.0	3.2	4.9

ARF = acute renal failure; AV = atrioventricular; AVR = aortic valve replacement; MI = myocardial infarction; NR= not reported; PVR = paravalvular regurgitation; SU-AVR = sutureless aortic valve replacement.

2. What is the cost-effectiveness of the Perceval S sutureless valve for patients requiring aortic valve replacement?

No cost-effectiveness studies were retrieved.

One propensity-matched study from Germany included a cost comparison between SU-AVR and sutured AVR.<sup>23</sup> Resource-use data was retrospectively collected from patient records and associated costs from the hospitals' finance department. Costs were aggregated into three categories: operating room, including anaesthesia; hospital stay, including ICU; and diagnostic imaging.

For the 82 matched pairs in the propensity analysis, the total procedural costs were €13,498 versus €17,905, for SU-AVR versus sutured AVR, respectively. All categories of cost were lower for SU-AVR: operating room, €5527 versus €5879, hospital stay, €6584 versus €9873, and diagnostic imaging, €1387 versus €2153. These costs are driven by the difference in procedural time, length of stay in ICU and hospital, and certain adverse events.

#### Limitations

Intervention in the form of replacement of the diseased valve is established therapy in symptomatic aortic stenosis. For surgical aortic valve replacement with the Perceval S sutureless aortic valve prosthesis the primary limitations of the evidence to date are the incomplete publication of the European multicentre registration trials, the lack of long-term follow-up, and the lack of randomized controlled evidence for comparison of sutureless aortic valve replacement with alternative methods, principally surgical replacement with a sutured valve, and TAVI.

The available published evidence consists of a collection of frequently overlapping reports from single centres and groups of centres, primarily in Europe. The outcomes reported by these studies are consistent with those reported in abstracts for the pooled European prospective registration studies, and the full publications for these studies should appear within the year. Ongoing follow-up is planned – both the Perceval Pivotal and the Perceval Cavalier study will follow patients to 5 years – and will establish the durability of the stentless bioprostheses.

In clinical practice, patients' options for aortic valve replacement are assessed individually, optimally by multidisciplinary teams. The available comparative data comes in the form of non-randomized comparisons between SU-AVR and AVR or TAVI with propensity matching, which frequently involves patients operated on at different times and in different centres. These studies use a subset of the available data on SU-AVR, and their results are dependent on the quality of the match, which the results of quality appraisal suggest is unclear. Furthermore, the relatively short experience with sutureless AVR means that criteria for optimal patient selection and sizing and selection of implants according to aortic root anatomy are better established for AVR and TAVI than for SU-AVR, thereby potentially leading to poorer results. Conversely, sites involved in the initial SU-AVR studies are self-selected early adopters of the technology whose results may be better.

Although part of the rationale for surgery over TAVI is allowing concomitant procedures, most of the data published to date concerns isolated AVR; comparative studies have yet to be released. Another part of the rationale is the potential for minimal access surgery. Systematic comparisons of surgical approaches have yet to be made.

#### CONCLUSIONS AND IMPLICATIONS FOR DECISION OR POLICY MAKING

Preliminary, single arm study evidence suggests that sutureless AVR in aortic stenosis with the Perceval S prosthesis is technically feasible, may enable less invasive surgical approaches, and has short-term safety and effectiveness in restoring aortic valve function. Longer term (i.e. more than one year) safety and effectiveness is essential, and should be forthcoming as ongoing studies are reported. Determination of optimal surgical approaches is ongoing.

There is no randomized evidence suggesting which strategy is optimal: standard AVR, SU-AVR, or TAVI, for those patients that might be candidates for more than one strategy. Data comparing sutureless aortic valve replacement with alternative procedures is limited: the nonrandomized comparisons are small, and their methodological quality is unclear. Currently patients are selected for procedures by individual case review and expert opinion, and there are at present no comparative trials in progress.

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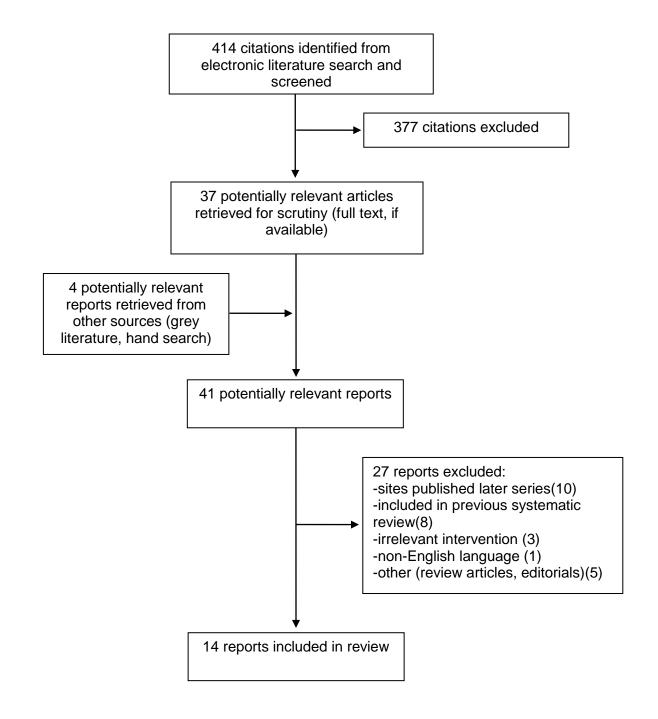
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## **APPENDIX 1: Selection of Included Studies**



# **APPENDIX 2:** Characteristics of Included Publications

Table 2A: Cha	Table 2A: Characteristics of Included Systematic Reviews and Meta-Analyses								
First Author, Publication Year, Country	Types and numbers of primary studies included	Population Character- istics	Inter- vention	Compar- ator(s)	Clinical Outcomes, Length of Follow-Up				
Phan, 2014 <sup>12</sup>	12 non- randomized (6 Perceval S)	Patients underwent AVR using a sutureless aortic valve. Studies were excluded if they did not report mortality or complications	Any sutureless aortic valve.	Not specified.	Mean ACC and CPB duration. Mean, peak AVG, AVA, LVEF. Mortality: 30 days, 1 year. Reoperation for bleeding, endocarditis, PVR, pacemaker implantation, structural valve deterioration, neurological events, renal failure.				
National Institute for Health and Clinical Excellence, 2013 <sup>13</sup>	1 propensity- matched, 6 case series, 1 case report. (6 Perceval S)	Patients with aortic stenosis receiving a sutureless aortic valve.	Any sutureless aortic valve.	Not pre- specified	Safety and efficacy prespecified. Efficacy reported: Mean ACC and CPB times, mean and peak AVG, AVA, LVEF. NYHA. Safety reported: In- hospital, 30-day and follow-up mortality. Bleeding, valve- related reintervention/ explants, endocarditis, PVR, pacemaker, heart failure, thromboembolism, renal replacement therapy.				
Australian Safety and Efficacy Register for New Interventional Procedures –	3 case series (1 Perceval S)	Patients with severe aortic stenosis	Any sutureless aortic valve.	Not specified.	Not pre-specified. Reported efficacy: Implant success. Mean ACC and CPB times, mean and peak AVG, AVA,				

#### Table 2A: Characteristics of Included Systematic Reviews and Meta-Analyses

# CADTH RAPID RESPONSE SERVICE

Table 2A: Cha First Author, Publication Year, Country	Types and numbers of primary studies included	Population Character- istics	Inter- vention	Compar- ator(s)	Clinical Outcomes, Length of Follow-Up
Surgical, 2012 <sup>14</sup>					LVEF. NYHA. Reported safety: Mortality: perioperative, 30 day, and follow-up. Post-operative and follow-up: Bleeding, reoperation for bleeding, valve explantation, endocarditis, MI, stroke, PVR, pacemaker. Echocardiographic results at various time-points.
Sepehrinpour, 2012 <sup>15</sup>	6 case series (2 Perceval S)	High risk patients undergoing surgery for aortic valve disease with any sutureless valve	Any sutureless aortic valve.	Surgical or percutan- eous aortic valve replace- ment.	Not pre-specified. Reported outcomes: Mortality: perioperative, 30 day, and follow-up. ACC and CPB times. NYHA. Post-operative complications, bleeding, reoperation for bleeding, valve explantation, endocarditis, MI, stroke, PVR, pacemaker. Echocardiographic results at various time-points.

 Table 2A:
 Characteristics of Included Systematic Reviews and Meta-Analyses

ACC = aortic cross clamp (duration); AVA = aortic valvular area; AVG = aortic valvular gradient; AVR = aortic valve replacement; CPB = cardiopulmonary bypass (duration); LVEF = left ventricular ejection fraction; MI = myocardial infarction; NYHA = New York Heart Association; PVR = paravalvular regurgitation; SU-AVR = sutureless aortic valve replacement.

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First Author,	Study Design	Patient	Intervention(s)	Clinical
Publication	otady beorgin	Characteristics	versus	Outcomes
Year, Country,			Comparator(s)	
Study Name				
Single arm stud				
Mazine, 2015 <sup>17</sup> . Six centres, Canada. June 2011 to May 2013.	Propective, multicentre.	N=214 Underwent SU-AVR with Perceval S.	SU-AVR with Perceval S. No comparator.	Perioperative mortality and adverse events (bleeding requiring reoperation, MI, acute kidney injury, renal replacement therapy, pacemaker). ICU length of stay. Hospital length of stay.
König, 2014 <sup>18</sup> . Cologne, Germany.	Retrospective, single-centre.	N=14. Adults who received a Perceval S	SU-AVR with Perceval S. Paper reported comparison with	Hemodynamic parameters. In-hospital survival, complication rates.
September 2013 to February 2013.		sutureless bioprosthesis.	patients receiving sutured valve.	ICU length of stay. Hospital length of stay. Hemodynamic parameters.
Michelena, 2014 <sup>8,19</sup> . Rochester and New York, US. (US FDA IDE trial)	One centre from preliminary prospective multicentre single-arm study.	N=8 Adults with severe aortic stenosis and suitable aortic root geometry.	SU-AVR with Perceval S. No comparator (historical controls).	Survival, valve success, complication rates. (In- hospital reported; long- term planned) Hemodynamic performance, NYHA at follow- up.
Rubino, 2014. <sup>20</sup> Leuven,	Retrospective, multicentre.	N=314 Operated on for	SU-AVR with Perceval S. No comparator.	All-cause mortality, in- hospital
Belgium†; Oulu,		aortic stenosis with	l	mortality, valve

#### Table 2C: Characteristics of Included Clinical Studies

First Author,	Study Design	Patient	Intervention(s)	Clinical
Publication		Characteristics	versus	Outcomes
Year, Country,			Comparator(s)	
Study Name		porceived high		rolated martality
Finland; Nürnberg,		perceived high operative risk. Aortic		related mortality.
Germany†,		annulus of size		Successful
Catania, Italy;		compatible with		implantation,
Stockholm,		available prosthesis.		stroke,
Sweden.		1		reoperation,
				endocarditis.
September				
2007-				
September				
2013	n-randomized stu	Idios		
Biancari,	Retrospective	N=379. Any patient	SU-AVR with	Main endpoint:
2015. <sup>25</sup>	cohort,	undergoing SU-	Perceval S.	In-hospital
Oulu, Finland,	propensity-	AVR±CABG.	TAVI with any	mortality.
Catania, Italy,	matched		valve.	Secondary
Nurnberg,	comparison	N=394. Any patient		endpoints:
Germany,	(1:1)	undergoing	SU-AVR with	device success,
Leuven,		TAVI±myocardial	CABG 26.4%.	bleeding,
Belgium,	SU-AVR	revascularization.	TAVI with PCI	reoperation for
Stockholm,	patients from 5	Match ad NL 444	0.7%.	valve related
Sweden, Triest,	centres. TAVI patients from	Matched N=144.		complications, stroke, PVR,
Italy.	sixth.			permanent
June 2007 to	51/11.			pacemaker
April 2014.				implantation, de
				novo dialysis.
Muneretto,	Prospective	Severe aortic valve	SU-AVR with	In-hospital
2015. <sup>21</sup> Brescia,	cohort,	stenosis, STS	Perceval S or	mortality, peri-
Mantova and	unmatched	score>4%.	Freedom Solo	operative and
Seriate, Italy.	comparison.		versus SAVR	post-operative
0-1-1-2-0040	Detion to	SU-AVR N=53;	versus TAVI.	adverse events
October 2010	Patients	other two groups	Midline incision	(bleeding, MI,
to February 2013	assigned to	N=55.	or	arrhythmia or heart block,
2013	interventions by multidisciplinary		ministernotomy at surgeon's	pacemaker or
	evaluation.		discretion.	circulatory
	October 2010			support, acute
	to February			renal failure).
	2013.			/
				Early
				postoperative
				hemodynamic
				performance.

#### Table 2C: Characteristics of Included Clinical Studies

First Author,	Study Design	Patient	Intervention(s)	Clinical
Publication Year, Country,		Characteristics	versus Comparator(s)	Outcomes
Study Name			Comparator(S)	
Gilmanov, 2014 <sup>22</sup> . Massa and Rozzano, Italy. August 2004 (surgical), 2011 (SU-AVR) to January 2014.	Retrospective non- randomized cohort with propensity score matching (1:1). Patients assigned by surgeons to interventions.	Sutureless (94% Perceval S): N=246. Indication for isolated AVR, candidate for surgery via right anterior minithoracotomy. Surgical: N=269. Indication for isolated AVR, candidate for surgery via right anterior minithoracotomy.	SU-AVR with Perceval S (mainly) versus SAVR. No concomitant procedures.	Follow-up: Freedom from death or major adverse cardiac events (cardiac death, MI, hemorrhage, stroke). In-hospital mortality, perioperative adverse events, reintervention for bleeding, stroke, heart block, measures of hospitalization. Follow-up: survival, freedom from reoperation, AVA.
		Propensity matched: N=133.		-
Pollari, 2014 <sup>23</sup> , Nürnberg and Münster, Germany. March 2010 to April 2013.	Retrospective non- randomized cohort with propensity score matching. Patients assigned to interventions by multidisciplinary conference.	Perceval S: N=166. Aged ≥65 years, indication for AVR, candidate for surgery, compatible echocardiogram findings. Surgical valve: N=400. Aged ≥65 years, candidate for surgery, incompatible echocardiographic findings or trained surgeon not available.	SU-AVR with Perceval S valve versus SAVR with sutured prosthesis.	Operative time, CPB and ACC time. In-hospital survival. Length of ICU and hospital stay. Follow-up: Survival, reoperation, stroke, endocarditis. Costs.

#### Table 2C: Characteristics of Included Clinical Studies

First Author,	Study Design	Patient	Intervention(s)	Clinical
Publication		Characteristics	versus	Outcomes
Year, Country,			Comparator(s)	
Study Name		Dran an aite matak a de		
		Propensity matched: N=82		
D'Onofrio, 2013 <sup>24</sup> . Italy. Reanalysis of data from D'Onofrio, 2012. April 2008 to December 2011.	Retrospective non- randomized cohort with propensity score matching of surgical AVR (either valve) versus TA- TAVI. March to September 2011. SU-AVR patients collected at three different institutions, Italy. SVR patients from 1 centre, Italy. January 2009 to December 2011. TA-TAVI patients from the Italian Registry of Trans-Apical Aortic Valve Implantation. April 2008 to May 2011	Perceval S: N=38. Severe symptomatic AS, age >75 years, high surgical risk profile. TA-TAVI: N=566. Severe symptomatic AS, high surgical risk (EuroSCORE>20%; STS score>10%) or porcelain aorta, or other serious comorbidities. Surgical: N=349. Matching the above definitions. Matched N=137 (both surgical versus TA-TAVI). N=31 SU-AVR.	Surgical AVR with Perceval S valve; surgical AVR with sutured valve; TA-TAVI.	All-cause 30-day mortality, disabling stroke, permanent pacemaker, renal replacement therapy, acute MI within 72 hours, AR at discharge, transaortic gradient at discharge.

#### Table 2C: Characteristics of Included Clinical Studies

† Site collected data for PERCEVAL registration trials during study period.

Abbreviations: ACC = aortic cross clamp (duration); AVA = aortic valvular area; AVG = aortic valvular gradient; AVR = aortic valve replacement; CPB = cardiopulmonary bypass (duration); EuroSCORE = European System for Cardiac Operative Risk Evaluation; ICU = intensive care unit; LVEF = left ventricular ejection fraction; MI = myocardial infarction; NYHA = New York Heart Association; PVR = paravalvular regurgitation; SU-AVR = sutureless aortic valve replacement; TA-TAVI = transapical TAVI; TAVI = transcatheter aortic valve implantation.

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# **APPENDIX 3: Critical Appraisal of Included Publications**

Table 3A: Critical Appraisal of Systematic Reviews
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	Phan, 2014 <sup>12</sup>	NICE, 2013 <sup>13</sup>	ASERNIP/S, 2012 <sup>14</sup>	Sepehrin- pour, 2012 <sup>15</sup>
An 'a priori' design was provided.	Yes	Yes	No	Yes
There was duplicate study selection and data extraction.	Yes	Not clear (rapid review)	Can't answer	Not clear (rapid review)
A comprehensive literature search was performed.	Yes	Yes	Can't answer	No
The status of publication was used as an inclusion criterion.	Yes	No	Can't answer	Not clear
A list of studies (included and excluded) was provided.	Included only	Included only	Yes	Included only
Characteristics of the included studies were provided.	Yes	Yes	No	Yes
The scientific quality of the included studies was assessed and documented.	Yes	No	Can't answer	No
The scientific quality of the included studies was used appropriately in formulating conditions.	Yes	Yes	Yes	Yes
The methods used to combine the finding	Yes	Not	Not	Not
of studies were appropriate.		applicable	applicable	applicable
The likelihood of publication bias was assessed.	Yes	Yes	Can't answer	No
Any conflict of interest was stated.	Yes	Yes	No	No

# Table 3B: Critical Appraisal of Case Series

Table 3B: Critical Apprais	Mazine,	König,	Michelena,	Rubino,	Shrestha,
	<b>2015</b> <sup>17</sup>	2015 <sup>18</sup>	<b>2014</b> <sup>19</sup>	<b>2014</b> <sup>20</sup>	<b>2014</b> <sup>16</sup>
The objective of the study is stated clearly.	Yes	Yes	Yes	Yes	Yes
The characteristics of the included participants are well described.	Yes	Yes	Yes	Yes	Yes
The cases were collected in more than one centre.	Yes	No	No	Yes	Yes
The inclusion/exclusion criteria were explicit and appropriate.	Yes	Yes	Yes	Yes	Yes
Participants were recruited prospectively.	Yes	Yes	Yes	Yes	Yes
Participants entered the study at a similar point in the disease.	Yes	Yes	Yes	Yes	Yes
The intervention was clearly described.	Yes	Yes	Yes	Yes	Yes
Co-interventions were clearly described.	Yes	Yes	Yes	Yes	Yes
The outcome measures were clearly defined.	Yes	Yes	Yes	Yes	Yes
Outcomes were appropriately measured.	Yes	Yes	Yes	Yes	Yes
Outcomes were measured before and after the intervention.	Yes	Yes	Yes	Yes	Yes
Appropriate statistical tests	Not	Not	Not	Not	Not
were used to assess the outcomes.	applicable	applicable	applicable	applicable	applicable
Length of follow-up was reported.	Yes	Yes	Yes	Yes	Yes
Loss to follow-up was reported.	No	No	No	No	Yes
Estimates of the random variability for outcomes were provided.	Yes	Yes	No (results listed by patient)	Yes	Yes
Adverse events were reported.	Yes	Yes	Yes	Yes	Yes
The results support the conclusions of the study.	Yes	Yes	Preliminary results of large study	Yes	Yes
Competing interests and sources of support for the study are reported.	Yes	No	Yes	Yes	Yes

#### Table 3C Critical Appraisal of Propensity-Matched Studies

Austin, 2008,<sup>11</sup> identifies five criteria to be considered in appraising a propensity-matched study.

- 1. The strategy for selecting the pairs is explicitly stated and justified, with citations.
- 2. Whether sampling is with or without replacement is documented.
- 3. The distribution of baseline characteristics between treated and untreated subjects in the matched sample is explicitly described.
- 4. The baseline balance in the matched sample is assessed using methods not influenced by sample size, are sample specific, and do not refer to a hypothetical population.
- 5. Analytic methods for estimating outcome difference and treatment effect are appropriate for matched data.

Criterion	Biancari, 2015 <sup>25</sup>	Gilmanov, 2014 <sup>22</sup>	Pollari, 2014 <sup>23</sup>	D'Onofrio, 2013 <sup>24</sup>
1	Yes	No	No	Yes
2	No	No	No	Yes
3	Yes	Yes	Yes	Yes
4	No	No	No	No
5	No	No	Some	Yes

# **APPENDIX 4: Main Study Findings and Author's Conclusions**

Table 4A: Summary of Findings of Included Studies			
First Author, Publication Year, Country, Study Name	Main study findings	Author's Conclusions	
Meta-analysis			
Phan, 2014 <sup>12</sup>	N=1037 (All valves; Perceval S N=502).           Weighted mean age 77.3 years, female           61%, EuroSCORE 11.7, LVEF 58.9%.           Proportion MS 20.1% [range 0 to 72%], MT           16.8% [0 to 100%], CS 64% [0 to 100%].           CABG 28.4% [0 to 50%].           Weighted mean ACC duration 45 [range 22           to 70] min, CPB 73 [46 to 111] min. Isolated           SU-AVR ACC 33 min, CPB 57 min. MI SU-           AVR ACC 59 min, CPB 92 min.           30-day mortality (10 studies) 2.1% (95% CI           1.1 to 3.3%). 1-year mortality (11 studies)           4.9% (95% CI 2.7% to 7.7%).           Reoperation for bleeding (10 studies) 1.2%           (95% CI 0 to 4.1%), stroke 1.5% (0.4% to           3.1%), endocarditis 2.2% (0.8% to 4.1%),           PVR 3.0%% (1.0% to 5.8%), pacemaker           5.6% (3.5% to 8.0%), renal failure 1.2% (0           to 4.1%).           Mean AVG at discharge (8 studies) 11.13           mmHg (95% CI 9.8 to 12.4 mmHg), at 12           months (6 studies) 9.6 mmHg (8.7 to 10.6           mmHg). Peak AVG at discharge 19.6           mmHg (16.5 to 22.7 mmHg), at 12 months           17.3 mmHg (16.1 to 18.4 mmHg). Mean           AVA at discharge (5 studies) 1.77 cm² (1.6           to 2.0 cm²), at 12 months 1.73 cm² (1.5 to 1.9 cm²).	"The evaluation of current observational evidence suggests that sutureless aortic valve implantation is a safe procedure associated with shorter cross-clamp and CPB duration, and comparable complication rates to the conventional approach in the short term." (p1) <sup>12</sup>	
Single arm, non-com			
Mazine, 2015. <sup>17</sup> Six centres, Canada. June 2011 to May	N=215. Mean age 78.9 years, female 54%, EuroSCORE II 7.2%, STS 6.9%. NYHA III/IV 56%.	"Sutureless AVR using the Perceval S prosthesis is safe and reproducible and results	
2013.	Proportion MS 9%, MT 11%, CS 80%. CABG 40%, multi-valve surgery 11%.	in short operative times. Echocardiographic results are encouraging,	

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Table 4A:	Summary	of Findinas	of Included Studies
	Gammary	or i manigo	

First Author, Publication Year,	Main study findings	Author's Conclusions	
Country, Study Name			
Name	Implantation success 100%. Isolated SU-	with low gradients and	
	AVR mean ACC duration $40.5\pm11.6$ min. CPB 56.6±16.6 min. Combined SU-AVR ACC 69.6±28.8 min, CPB 88.7±38.4 min. MS/MT SU-AVR ACC 43.4±12.1 min, CPB 58.2±15.8 min.	no paravalvular aortic insufficiency. However, in this series, sutureless AVR was associated with a high risk of permanent pacemaker	
	Perioperative mortality 4%.	implantation." (p64) <sup>17</sup>	
	Bleeding requiring reoperation 5%, explantation 0, stroke 3%, MI 0.5%, endocarditis 0, PVR 11% (none moderate/severe), pacemaker 17%, renal replacement therapy 2%.		
	ICU length of stay 3.7±3.9 days, hospital length of stay 11.4±7.6 days.		
	Mean predischarge AVG 13.3 $\pm$ 6.4 mmHg. Peak AVG 24.5 $\pm$ 10.8 mmHg. Mean AVA 1.56 $\pm$ 0.37 cm <sup>2</sup> .		
König, 2014. <sup>18</sup> Cologne, Germany.	N=14. Mean age 78 years, female 86%, additive EuroSCORE 7.4%.	"The sutureless SP bioprosthesis seems to represent a good alternative to conventional stented bioprostheses, especially in older patients with a high risk profile, and particularly if concomitant surgical	
September 2013 to February 2013.	CABG 35.7%. Isolated SU-AVR ACC duration (N=9) 37.3±6.8 min, CPB 58.4±11.0 min. Combined SU-AVR ACC 51.6±5.6, CPB 74.8±7.1 min.		
	30-day mortality 0. Reoperation for bleeding 7.1%, stroke 7.1%, PVR 7.1%, pacemaker 28.6%.	procedures are planned." (p19) <sup>18</sup>	
	ICU length of stay 3.0±2.7 days (one patient excluded).		
	Predischarge mean AVG 13±3.3 mmHg, peak AVG 24.8±5.2 mmHg.		
Michelena, 2014. <sup>19</sup> Rochester and New York, US. (US FDA	N=8. Age range 72 to 91 years, female 50%, STS mortality 2% to 9%.	Preliminary report. No conclusions.	
IDE trial)	CABG 2 patients.		

#### Table 4A: Summary of Findings of Included Studies

	of Findings of Included Studies	Author's Conclusions
First Author,	Main study findings	Author's Conclusions
Publication Year,		
Country, Study		
Name	ACC duration 21 to 122 min. CDD duration	
	ACC duration 21 to 133 min, CPB duration	
	28 to 159 min.	
	20 day martality papa. Desparation for	
	30 day mortality, none. Reoperation for	
	bleeding 1 patient, stroke none, PVR none,	
	pacemaker 3.	
	Hospital length of stay 4 to 16 days.	
	Hospital length of stay 4 to 10 days.	
	Predischarge mean AVG 9 to 22 mmHg,	
	AVA 1.2 to $2.5 \text{ cm}^2$ .	
Rubino, 2014. <sup>20</sup>	Rubino, 2014. N=314. Mean age 77.9	"The sutureless Perceval
Leuven, Belgium <sup>†</sup> ;	years, female 60.2%, EuroSCORE II 9.0%,	S valve is associated
Oulu, Finland;	NYHA Class III/IV 80.6%.	with excellent early
Nürnberg, Germany†,		survival in high-risk
Catania, Italy;	MS 41.7%, MT 2.9%, CS 55.4%. CABG	patients, particularly
Stockholm, Sweden.	29.9%.	among those undergoing
		an isolated procedure."
September 2007-	Successful implants 313 (99.7%). Isolated	(p865) <sup>20</sup>
September 2013	SU-AVR ACC 39±15 min, CPB 66±23.	(1000)
	Combined SU-AVR 52±26, CPB 88±32 min.	"A longer follow-up is
		needed to define the
	30 day mortality 3.2% (1.4% isolated AVR,	structural and clinical
	7.4% with CABG). Reoperation for bleeding	durability of this
	2.5%, redo with sutured AVR 1.0% (2	bioprosthesis. Further
	patients PVR, 1 dislodgement), stroke	data are needed on the
	1.9%, intraoperative PVR 12.7%,	potential benefits of this
	pacemaker 8.0%, new dialysis 1.6%.	approach in patients
		requiring coronary
	ICU length of stay 3.2±3.4 days. Hospital	revascularization or any
	length of stay 13.4±6.5 days.	other cardiac
		procedure." (p870) <sup>20</sup>
	Median length of follow-up 0.9 years (0.1 to	
	3 years). 1-year 90.5%, 2-year 87%.	
	Freedom from valve-related mortality	
	99.0%, from reoperation 98.3%, from	
<b>0</b> 11 0 0 0 16	stroke, 98.1%, from endocarditis 99.2%.	<b>—</b>
Shrestha, 2014. <sup>16</sup>	See Appendix 5 for preliminary results for	"These trials confirm the
Pooled results for 3	all patients, and study design.	safety and efficacy of the
European registration		Perceval sutureless
studies. (PERCEVAL	For subgroup who underwent AVR and a	aortic valve, especially in
Pilot, Pivotal, and	concomitant procedure (N=243 of total	elderly patients requiring
Cavalier)	770), mean age 79.7 years, female 61%,	AVR+concomitant
April 2007 to Eshmarra	mean EuroSCORE 12.1%.	procedures. In this
April 2007 to February		patient group, sutureless

Table 4A:	Summary	/ of Findings	of Included	Studies
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First Author,	of Findings of Included Studies Main study findings	Author's Conclusions
Publication Year,	Main Study Infangs	Aution's conclusions
Country, Study		
Name		
2013.	MS 6%, CS 94%. CABG 75%,	valves may be
	CABG+others 7%, septal myectomy 9%,	advantageous compare
	others 9%.	to transcatheter valve
		implantations as
	Mean procedural times: CPB 78.9±32.3	concomitant procedures
	min, ACC 50.7±22.8 min.	other than percutaneous
		coronary artery
	30-day mortality 2.1%. To 30 days: re-	angioplasty are not
	exploration for bleeding 3.8%, valve	always possible in the
	explantations 2.1% (1 patients for bleeding,	latter." (p1294) <sup>16</sup>
	4 for PVR), stroke 1.3%, MI 0.8%,	
	endocarditis 0.4%, pacemaker 5.9%, heart	
	failure 1.3%.	
	Mean follow-up 444 days. 2-year overall	
	survival 86.4%. Explantations 4	
	(1.35%/patient years), no valve thrombosis,	
	valve dislodgement, migration or	
	deterioration.	
	Hemodynamics: 1 year post-op (N=161)	
	mean AVG $8.9\pm4.6$ mmHg, peak AVG	
	17.5±8.2 mmHg, AVA 1.6±0.5 cm <sup>2</sup> . NYHA	
Comparativo non ran	Class I/II at 1 years 91.9%.	
<b>Comparative non-ran</b> Biancari, 2015. <sup>25</sup>	(Order of presentation: SU-AVR versus	" SU-AVR may provide
Diarioan, 2010.	<i>TAVI, N=144</i> ) Mean age 79.4 versus 79.0	favorable early results
Oulu, Finland,	years, female 61.1% versus 62.5%, mean	when compared with a
Catania, Italy,	EuroSCORE II 4.1% versus 3.6%, NYHA	population treated with
Nurnberg, Germany,	III/IV 75.0% versus 72.9%.	TAVI. The use of
Leuven, Belgium,		sutureless Perceval
Stockholm, Sweden,	Device success (successful procedure with	bioprosthesis is
Triest, Italy.	no major adverse events) 79.9% versus	associated with a rather
	77.8%.	low incidence of
June 2007 to April		significant paravalvular
2014.	In-hospital mortality 1.4% versus 6.9%.	regurgitation and
	Reoperation for major bleeding 4.2% versus	excellent immediate
	0, stroke 0 versus 2.1%, PVR (>mild) 2.8%	postoperative survival.
	versus 53.5%, pacemaker implantation	SU-AVR is a valid
	11.2% versus 15.4%, de novo dialysis 2.1%	alternative to TAVI in
	vs 0.	intermediate risk
<b>N 1 1 1 1 1</b>		patients." (p6) <sup>25</sup>
Muneretto, 2015. <sup>21</sup>	(Order of presentation: Su-AVR versus AVR	" we could not detect
Brescia, Mantova and	versus TAVI, N=55 versus 53 versus 55)	an advantage in survival

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Table 4A:	Summary	of Findings	of Included Studie	S
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Table 4A: Summary of Findings of Included Studies			
First Author, Publication Year, Country, Study Name	Main study findings	Author's Conclusions	
Seriate, Italy. October 2010 to	Mean age 79 versus 79 versus 81 years, female 68.9% versus 52.7% versus 56.3%, mean EuroSCORE 16% versus 21.3%	when a sutureless was utilized compared with a conventional AVR."	
February 2013.	versus 20.4%. NYHA III/IV 88.7% versus 71% versus 56.4%.	(p95) <sup>21</sup>	
	MS 18.9% versus 49.1%.	"This preliminary study suggests that the use of TAVI in patients with an	
	Mean ACC duration 30.9±13.6 versus 65.4±27.7 min. CPB 47±18.5 versus 89.4±20.4 min.	intermediate to high risk profile is associated with a higher rate of perioperative	
	In-hospital/30 day mortality 0 versus 0 versus 1.8%. Bleeding requiring surgery 7.5% versus 10.9% versus 0, postoperative MI 0 versus 0 versus 1.8%, stroke 0 versus 1.8% versus 0, atrioventricular block/pacemaker 2% versus 1.8% versus 25.5%, AR (Grade II+) 1.9% versus 0 versus 9%, acute renal failure 7.5% versus 12.7% versus 9%.	complications and decreased survival at the 24 month follow-up compared with the use of conventional surgery or sutureless valves." (p90) <sup>21</sup>	
	Mortality to 24 months: 9.4% versus 14.5% versus 12.7%. 24-month survival (Kaplan-Meier) 83.8% versus 89.5% versus 83%. Cardiac death 3.7% versus 0 versus 5.4%, major bleeding 0 versus 1.8% versus 3.6%, stroke 1.9% versus 1.8% versus 1.8%, late MI none.		
	24-month follow-up mean AVG 10.8±6.8 versus 11.4±6 versus 8.4±4.2 mmHg, peak AVG 19.5±12.5 versus 23.8±11.7 versus 15.3±7.5 mmHg.		
Gilmanov, 2014. <sup>22</sup> Massa and Rozzano, Italy.	(Order of presentation: SU-AVR versus AVR, N=133) Mean age 75.3 versus 73.6 years, female 44.4% versus 42.9%. EuroSCORE 5.83% versus 5.46%. NYHA	"In the present limited cohort of patients, sutureless prostheses reduced operative times	
August 2004 (surgical), 2011 (SU- AVR) to January	III/IV 29.3% versus 30.1%.	for aortic valve replacement and the duration of mechanically	
2014.	Median ACC 56 min [IQR 48 to 72.5 min] versus 88 [77 to 110 min] Median CPB 90	assisted ventilation and might have influenced early and mid-term	
	[77 to 108.5 min] versus 88 min [77 to 100	survival." (p1585) <sup>22</sup>	

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#### Table 4A: Summary of Findings of Included Studies

First Author,	of Findings of Included Studies Main study findings	Author's Conclusions
Publication Year,		Aution 3 conclusions
Country, Study		
Name		
	min].	
	-	
	In-hospital mortality 0.8% versus 1.5%.	
	Reexploration for bleeding versus 6.8%	
	3.8%, conversion to median sternotomy	
	2.3% versus 3.0%, perioperative MI 1.5% versus 0 patients, stroke 1.5% versus 0,	
	pacemaker 4.5% versus 2.3%, infection	
	3.8% versus 3.8%.	
	ICU length of day median 1 day versus 1	
	day. Hospital length of stay median 6 days	
	versus 6 days.	
	Mean follow-up 15.3±8 versus 53.6±29	
	months. 10-month Kaplan-Meier survival	
	96% versus 95%. Freedom from	
	reoperation at follow-up 98.5% versus 97%.	
	Mean AVG at follow-up 11±7 mmHg versus 12±8 mmHg.	
Pollari, 2014, <sup>23</sup>	(Order of presentation: SU-AVR versus	"A shorter procedural
Nürnberg and	AVR, N=88) Mean age 75.5 versus 74.5	time in the sutureless
Münster, Germany.	years, female 61% versus 52.4%,	group is associated with
	EuroSCORE 12.1% versus 10.9%, mean	better clinical outcomes
March 2010 to April	NYHA 2.9 versus 3.1.	and reduced hospital $(10044)^{23}$
2013.	Combined operation 22% versus 17.1%.	costs." (p611) <sup>23</sup>
		" despite the promising
	Mean ACC duration 47±16 versus 59±23	preliminary results, a
	min, CPB 71±11 versus 92±33 min. Isolated	longer follow-up is
	SU-AVR ACC $47\pm16$ min versus $49\pm16$ min.	warranted before a
	20 day mortality 2 4% yaraya 2 7% Da	definite conclusion can be drawn." (p617) <sup>23</sup>
	30-day mortality 2.4% versus 3.7%. Re- exploration for bleeding 2.4% versus 6.1%,	be ulawii. (poi/)
	stroke/ TIA 3.7% versus 7.3%, pacemaker	
	6.1% versus 8.5%.	
	ICU length of stay 2±1.2 das versus 2.8±1.3	
	days. Hospital length of stay 10.9±2.7 days versus 12.4±4.4 days.	
	Follow-up 13 months. Overall survival	
	97.6% versus 96.2%, freedom from valve-	
	related death 100% versus 98.7%, freedom	

#### Table 4A: Summary of Findings of Included Studies

Table 4A: Summary of Findings of Included Studies			
First Author,	Main study findings	Author's Conclusions	
Publication Year,			
Country, Study			
Name			
	from stroke 98.8% versus 97.5%, freedom		
	from endocarditis 100% versus 98.7%,		
	freedom from reoperation 100% versus 98.7%.		
D'Onofrio, 2013, <sup>24</sup> Italy. Reanalysis of data from D'Onofrio, 2012, with three-way comparison.	(Order of presentation: Both surgical groups versus TAVI, N=143 each) Mean age 73.5 versus 77.6 years, female 50.3% versus 62.9%, EuroSCORE 18.3% versus 20.2%. NYHA III/IV 54.5% versus 65%.	"SAVR [surgical AVR] was associated with lower 30-day mortality than TA-TAVR [TA- TAVI]. SAVR was also	
January 2009 to March 2012.	Postoperative outcomes ( <i>Order of</i> <i>presentation: SU-AVR versus SAVR versus</i> <i>TA-TAVI</i> , N=31 versus 112 versus 143) 30- day mortality 0 versus 1.8% versus 7%. MI 0 versus 0.9% versus 3.5%,stroke 0 versus 0 versus 2.8%, AR (mild+) 19.4% versus 1.0% versus 28.7%, pacemaker 3.2% versus 0.9% versus 4.9%, renal replacement therapy 3.2% versus 0 versus 4.9%.	associated with a lower risk of postoperative aortic regurgitation compared with TA- TAVR. We did not find other significant differences in outcomes among matched patients treated with SAVR, SU- AVR, and TA-TAVR." (p1065) <sup>24</sup>	
	Mean AVG at discharge: 11.1±3.3 versus 16.5±5.8 versus 10.7±10.7 mmHg.		

ACC = aortic cross-clamping; AR = aortic valve regurgitation; AV = atrioventricular; AVA = aortic valve area; AVG = aortic valve gradient; AVR = aortic valve replacement; CABG = coronary artery bypass grafting; CPB = cardiopulmonary bypass; CS = conventional sternotomy; EuroSCORE = European System for Cardiac Operative Risk Evaluation; GI = gastrointestinal; MI = myocardial infarction; MS = ministernotomy; MT = minithoracotomy; NYHA = New York Heart Association functional class; PVR = paravalvular leak; SAVR = surgical aortic valve replacement; STS = Society for Thoracic Surgeons risk calculator; SU-AVR = sutureless aortic valve replacement; TA-TAVI = transcatheter aortic valve implantation. PVR

#### **APPENDIX 5:** Registration trial results reported in abstract

A non-systematic search identified abstracts presented at the European Association for Cardio-Thoracic Surgery conference, October 2014, which described interim results for all patients in the PERCEVAL European pivotal trials.<sup>29,30</sup> As a search of conference abstracts was not part of the systematic search, these abstracts were not included as part of the main summary, but are presented here for completeness. Available information on the study design from ClinicalTrials.gov is summarized in Table 5A and results in Table 5B.

The data are to be considered preliminary, lacking full details of study design and conduct and a full description of adverse events. Given the interest in this field, peer reviewed publications may be anticipated shortly.

First Author, Publication	Study Design	Patient Characteristics	Intervention(s) versus	Clinical Outcomes
Year,			Comparator(s)	
Country,				
Study Name				
PERCEVAL	Prospective,	N=658	SU-AVR with	Improvement in
Cavalier. EU	single arm,		Perceval S. No	clinical status (NYHA
registration	multi-center	Age ≥65 years with aortic	comparator.	class).
trial. <sup>31</sup>	trial	stenosis.		Hemodynamic
				parameters.
26 centres in		Pre-operative assessment		Adverse events.
Austria,		suggests need for		PVR.
Belgium, France,		replacement.		
Germany,		Excluded: needing		
Netherlands,		additional procedures		
Poland,		except CABG or septal		
Switzerland,		myomectomy		
United		, , , , , , , , , , , , , , , , , , ,		
Kingdom				
Started				
February				
2011. PERCEVAL	Draanaatiya	N 450	SU-AVR with	
PERCEVAL Pivotal, EU	Prospective, single arm,	N=150	Perceval S. No	Improvement in clinical status (NYHA
registration	multi-center	Age ≥75 years with aortic	comparator.	class).
trial. <sup>32</sup> 9	trial	stenosis		Hemodynamic
centres in				parameters.
Belgium,		NYHA Class III/IV.		Adverse events.
France,				PVR.
Germany,		Excluded: needing		
Switzerland		additional procedures		
		except CABG or septal		
January 2007-		myomectomy		
September				
2011				

#### Table 5A: Characteristics of European Registration studies

ACC = aortic cross clamp (duration); AVA = aortic valvular area; AVG = aortic valvular gradient; AVR = aortic valve replacement; CPB = cardiopulmonary bypass (duration); LVEF = left ventricular ejection fraction; MI = myocardial infarction; NYHA = New York Heart Association; PVR = paravalvular regurgitation; SU-AVR = sutureless aortic valve replacement.

# Table 5B: Results of European Registration studies

First Author, Publication Year, Country, Study Name	Main study findings
Shrestha, 2014. <sup>29</sup> Pooled results for 3 European registration studies (Perceval Pilot, Pivotal and Cavalier).	<ul> <li>N=731. Mean age 78.9 years, mean logistic EuroSCORE 11.04%.</li> <li>Mean procedural times: For AVR alone via sternotomy (n=308), time on CPB, 50.3 min, ACC 30.7 min. For AVR alone via less invasive approach (n=189), time on CPB 64.5 min, ACC 37.3 min.</li> <li>Cumulative follow-up 729 patient-years. Overall survival 1 year 92.1%, 5 years 74.4%.</li> <li>To 30 days: Deaths 3.4%, cardiac deaths 1.4%. Explants 1.4%. PVR 1.4%. Endocarditis 0.3%. Third degree AV block 6%.</li> </ul>
	To 1 year: Deaths 10.4%, cardiac deaths 2.1%. Explants 2.9%. PVR 2.6%. Endocarditis 1.9%. Third degree AV block 7.4%. Hemodynamics: Mean AVG pre-op 42.7 mmHg, 3 years post-op 7.7 mmHg.
Laborde, 2014. <sup>30</sup> PERCEVAL Cavalier. European registration trial for extended CE Mark.	<ul> <li>N=658. Mean age 77.8 years, mean logistic EuroSCORE 10.2%.</li> <li>Successful implantation 95.4%. Mean procedural times: For AVR alone via sternotomy (n=232), time on CPB, 53.7 min, ACC 32.6 min. For AVR alone via less invasive approach (n=219), time on CPB 73.4 min, ACC 40 min.</li> <li>To 30 days: Deaths 3.7%, valve-related 0.3%. Explanted valves 1.0%, explanted for PVR 0.5%. Stroke 2.1%. Endocarditis 0.2%.</li> </ul>