

# 18.404/6.840 Lecture 5

## Last time:

- Context free grammars (CFGs)
- Context free languages (CFLs)
- Pushdown automata (PDA)
- Converting CFGs to PDAs

## Today: (Sipser §2.3, §3.1)

- Proving languages not Context Free
- Turing machines
- T-recognizable and T-decidable languages

# Equivalence of CFGs and PDAs

**Recall Theorem:**  $A$  is a CFL iff some PDA recognizes  $A$

→ Done.

← Need to know the fact, not the proof

## Corollaries:

- 1) Every regular language is a CFL.
- 2) If  $A$  is a CFL and  $B$  is regular then  $A \cap B$  is a CFL.

### Proof sketch of (2):

While reading the input, the finite control of the PDA for  $A$  simulates the DFA for  $B$ .

**Note 1:** If  $A$  and  $B$  are CFLs then  $A \cap B$  may not be a CFL (will show today).  
Therefore the class of CFLs is not closed under  $\cap$ .

**Note 2:** The class of CFLs is closed under  $\cup, \circ, *$  (see Pset 2).

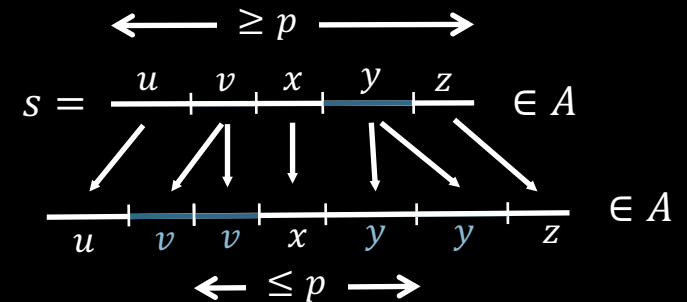
# Proving languages not Context Free

Let  $B = \{0^k 1^k 2^k \mid k \geq 0\}$ . We will show that  $B$  isn't a CFL.

**Pumping Lemma for CFLs:** For every CFL  $A$ , there is a  $p$  such that if  $s \in A$  and  $|s| \geq p$  then  $s = uvxyz$  where

- 1)  $uv^i xy^i z \in A$  for all  $i \geq 0$
- 2)  $vy \neq \epsilon$
- 3)  $|vxy| \leq p$

Informally: All long strings in  $A$  are pumpable and stay in  $A$ .

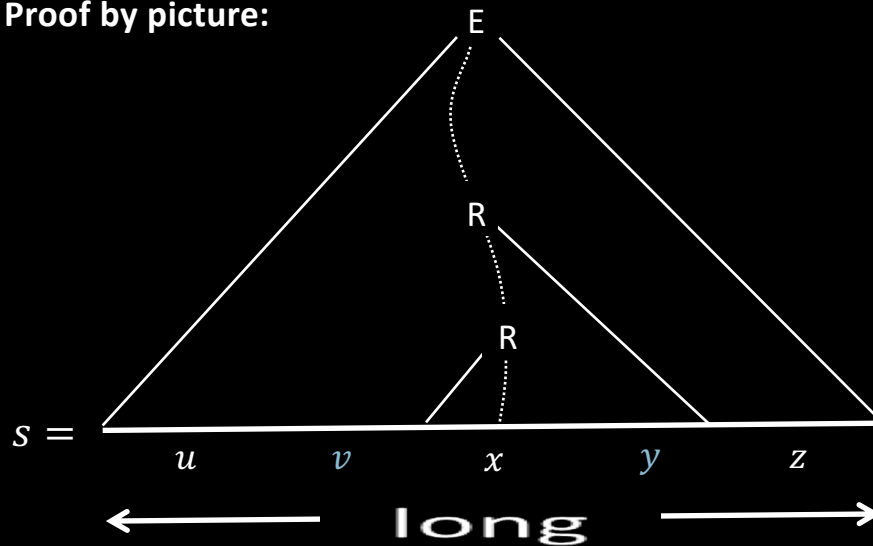


# Pumping Lemma – Proof

**Pumping Lemma for CFLs:** For every CFL  $A$ , there is a  $p$  such that if  $s \in A$  and  $|s| \geq p$  then  $s = uvxyz$  where

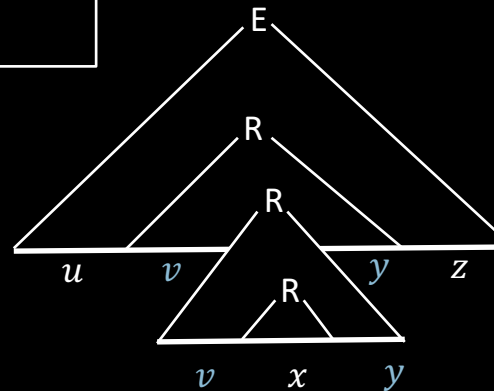
- 1)  $uv^i xy^i z \in A$  for all  $i \geq 0$
- 2)  $vy \neq \epsilon$
- 3)  $|vxy| \leq p$

**Proof by picture:**

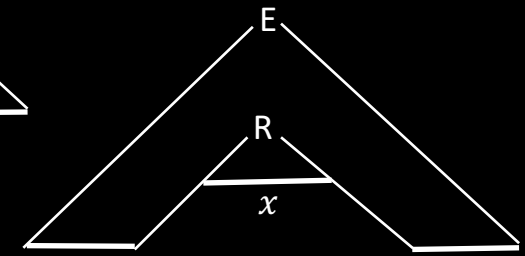


tall

Long  $s \rightarrow$   
tall parse tree



Generates  $uvvxyyz$   
 $= uv^2xy^2z$



Generates  $uxz$   
 $= uv^0xy^0z$

“cutting and pasting” argument

# Pumping Lemma – Proof details

For  $s \in A$  where  $|s| \geq p$ , we have  $s = uvxyz$  where:

- 1)  $uv^i xy^i z \in A$  for all  $i \geq 0$
- 2)  $vy \neq \epsilon$
- 3)  $|vxy| \leq p$

Let  $b =$  the length of the longest right hand side of a rule ( $E \rightarrow E+T$ )

$=$  the max branching of the parse tree

```

    E
   / \
  E + T
  
```

Let  $h =$  the height of the parse tree for  $s$ .

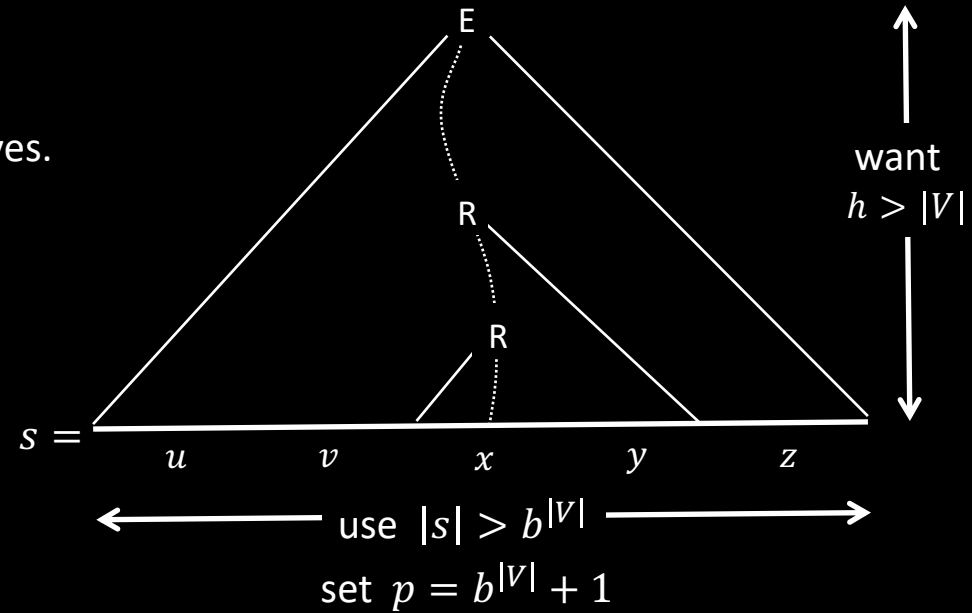
A tree of height  $h$  and max branching  $b$  has at most  $b^h$  leaves.

So  $|s| \leq b^h$ .

Let  $p = b^{|V|} + 1$  where  $|V| =$  # variables in the grammar.

So if  $|s| \geq p > b^{|V|}$  then  $|s| > b^{|V|}$  and so  $h > |V|$ .

Thus at least  $|V| + 1$  variables occur in the longest path.  
So some variable  $R$  must repeat on a path.



# Example 1 of Proving Non-CF

**Pumping Lemma for CFLs:** For every CFL  $A$ , there is a  $p$  such that if  $s \in A$  and  $|s| \geq p$  then  $s = uvxyz$  where

- 1)  $uv^i xy^i z \in A$  for all  $i \geq 0$
- 2)  $vy \neq \epsilon$
- 3)  $|vxy| \leq p$

Let  $B = \{0^k 1^k 2^k \mid k \geq 0\}$

**Show:**  $B$  is not a CFL

## Check-in 5.1

Let  $A_1 = \{0^k 1^k 2^l \mid k, l \geq 0\}$  (equal #s of 0s and 1s)

Let  $A_2 = \{0^l 1^k 2^k \mid k, l \geq 0\}$  (equal #s of 1s and 2s)

Observe that PDAs can recognize  $A_1$  and  $A_2$ . What can we now conclude?

- a) The class of CFLs is not closed under intersection.
- b) The Pumping Lemma shows that  $A_1 \cup A_2$  is not a CFL.
- c) The class of CFLs is closed under complement.

$$s = 00 \cdots 0011 \cdots 1122 \cdots 22$$

$u$	$v$	$x$	$y$	$z$
$\leftarrow$	$\leq p$	$\rightarrow$		

## Example 2 of Proving Non-CF

**Pumping Lemma for CFLs:** For every CFL  $A$ , there is a  $p$  such that if  $s \in A$  and  $|s| \geq p$  then  $s = uvxyz$  where

- 1)  $uv^i xy^i z \in A$  for all  $i \geq 0$
- 2)  $vy \neq \epsilon$
- 3)  $|vxy| \leq p$

Let  $F = \{ww \mid w \in \Sigma^*\}$ .  $\Sigma = \{0,1\}$ .

**Show:**  $F$  is not a CFL.

Assume (for contradiction) that  $F$  is a CFL.

The CFL pumping lemma gives  $p$  as above. Need to choose  $s \in F$ . Which  $s$ ?

Try  $s_1 = 0^p 1 0^p 1 \in F$ .

Try  $s_2 = 0^p 1^p 0^p 1^p \in F$ .

Show  $s_2$  cannot be pumped  $s_2 = uvxyz$  satisfying the 3 conditions.

Condition 3 implies that  $vxy$  does not overlap two runs of 0s or two runs of 1s.

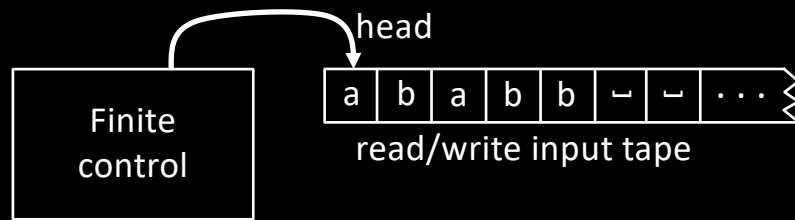
Therefore, in  $uv^2xy^2z$ , two runs of 0s or two runs of 1s have unequal length.

So  $uv^2xy^2z \notin F$  violating Condition 1. Contradiction! Thus  $F$  is not a CFL.

$$s_1 = \begin{array}{ccccccc} 0 & 0 & 0 & \cdots & 0 & 0 & 1 & 0 & 0 & 0 & \cdots & 0 & 0 & 1 \\ \hline & u & & & v & x & y & & & & & & & z \\ & & & & \leftarrow & \leq p & \rightarrow & & & & & & & \end{array}$$

$$s_2 = \begin{array}{ccccccc} 0 & \cdots & 0 & 1 & \cdots & 1 & 0 & \cdots & 0 & 1 & \cdots & 1 \\ \hline & u & & & v & x & y & & & & & & & z \\ & & & & \leftarrow & \leq p & \rightarrow & & & & & & & \end{array}$$

# Turing Machines (TMs)



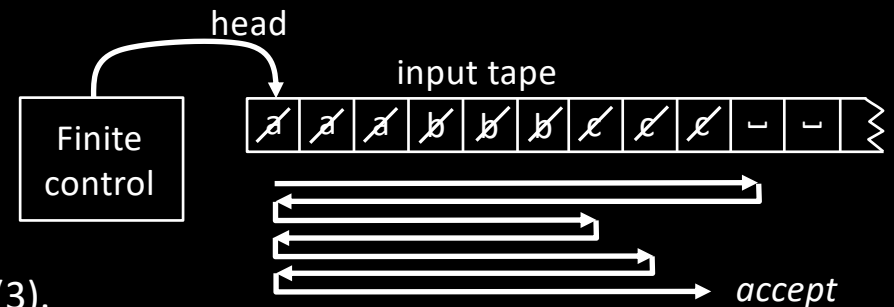
- 1) Head can read and write
- 2) Head is two way (can move left or right)
- 3) Tape is infinite (to the right)
- 4) Infinitely many blanks "␣" follow input
- 5) Can accept or reject any time (not only at end of input)



# TM – example

TM recognizing  $B = \{a^k b^k c^k \mid k \geq 0\}$

- 1) Scan right until  $\_$  while checking if input is in  $a^*b^*c^*$ , *reject* if not.
- 2) Return head to left end.
- 3) Scan right, crossing off single a, b, and c.
- 4) If the last one of each symbol, *accept*.
- 5) If the last one of some symbol but not others, *reject*.
- 6) If all symbols remain, return to left end and repeat from (3).



## Check-in 5.2

How do we get the effect of “crossing off” with a Turing machine?

- a) We add that feature to the model.
- b) We use a tape alphabet  $\Gamma = \{a, b, c, \cancel{a}, \cancel{b}, \cancel{c}, \_ \}$ .
- c) All Turing machines come with an eraser.

# TM – Formal Definition

Defn: A Turing Machine (TM) is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej})$

$\Sigma$  input alphabet

$\Gamma$  tape alphabet ( $\Sigma \subseteq \Gamma$ )

$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$  (L = Left, R = Right)

$\delta(q, a) = (r, b, R)$

On input  $w$  a TM  $M$  may halt (enter  $q_{acc}$  or  $q_{rej}$ ) or  $M$  may run forever (“loop”).

So  $M$  has 3 possible outcomes for each input  $w$ :

1. Accept  $w$  (enter  $q_{acc}$ )
2. Reject  $w$  by halting (enter  $q_{rej}$ )
3. Reject  $w$  by looping (running forever)

## Check-in 5.3

This Turing machine model is deterministic.  
How would we change it to be nondeterministic?

- a) Add a second transition function.
- b) Change  $\delta$  to be  $\delta: Q \times \Gamma \rightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\})$
- c) Change the tape alphabet  $\Gamma$  to be infinite.

# TM Recognizers and Deciders

Let  $M$  be a TM. Then  $L(M) = \{w \mid M \text{ accepts } w\}$ .

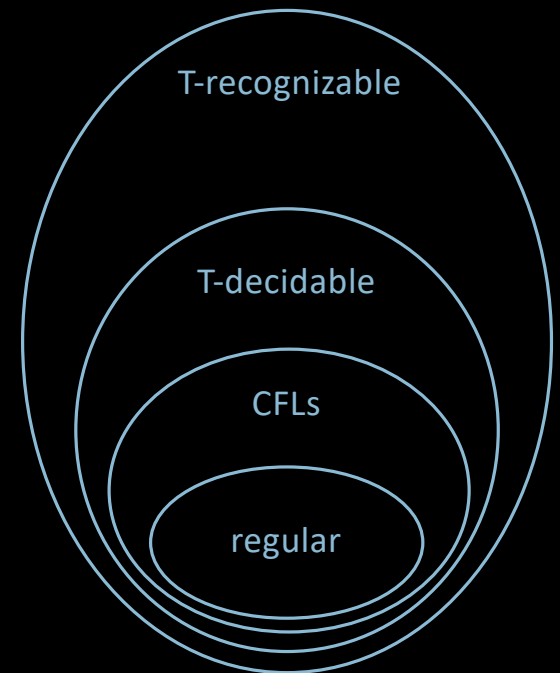
Say that  $M$  recognizes  $A$  if  $A = L(M)$ .

**Defn:**  $A$  is Turing-recognizable if  $A = L(M)$  for some TM  $M$ .

**Defn:** TM  $M$  is a decider if  $M$  halts on all inputs.

Say that  $M$  decides  $A$  if  $A = L(M)$  and  $M$  is a decider.

**Defn:**  $A$  is Turing-decidable if  $A = L(M)$  for some TM decider  $M$ .



## Quick review of today

1. Proved the CFL Pumping Lemma as a tool for showing that languages are not context free.
2. Defined Turing machines (TMs).
3. Defined TM deciders (halt on all inputs).
4. T-recognizable and T-decidable languages.

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18.404J / 18.4041J / 6.840J Theory of Computation

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