

# 18.404/6.840 Lecture 17

## Last time:

- Cook-Levin Theorem:  $SAT$  is NP-complete
- $3SAT$  is NP-complete

## Today: (Sipser §8.1 – §8.2)

- Space complexity
- $SPACE(f(n))$ ,  $NSPACE(f(n))$
- PSPACE, NPSPACE
- Relationship with TIME classes
- Examples

# SPACE Complexity

**Defn:** Let  $f: \mathbb{N} \rightarrow \mathbb{N}$  where  $f(n) \geq n$ . Say TM  $M$  runs in space  $f(n)$  if  $M$  always halts and uses at most  $f(n)$  tape cells on all inputs of length  $n$ .

## Check-in 17.1

We define space complexity for multi-tape TMs by taking the sum of the cells used on all tapes.

Do we get the same class PSPACE for multi-tape TMs?

- (a) No.
- (b) Yes, converting a multi-tape TM to single-tape only squares the amount of space used.
- (c) Yes, converting a multi-tape TM to single-tape only increases the amount of space used by a constant factor.

# Relationships between Time and SPACE Complexity

**Theorem:** For  $t(n) \geq n$

- 1)  $\text{TIME}(t(n)) \subseteq \text{SPACE}(t(n))$
- 2)  $\text{SPACE}(t(n)) \subseteq \text{TIME}(2^{O(t(n))})$   
 $= \bigcup_c \text{TIME}(c^{t(n)})$

**Proof:**

- 1) A TM that runs in  $t(n)$  steps cannot use more than  $t(n)$  tape cells.
- 2) A TM that uses  $t(n)$  tape cells cannot use more than  $c^{t(n)}$  time without repeating a configuration and looping (for some  $c$ ).

**Corollary:**  $P \subseteq PSPACE$

**Theorem:**  $NP \subseteq PSPACE$  [next slide]

# NP $\subseteq$ PSPACE

**Theorem:** NP  $\subseteq$  PSPACE

Proof:

1. SAT  $\in$  PSPACE
2. If  $A \leq_p B$  and  $B \in$  PSPACE then  $A \in$  PSPACE

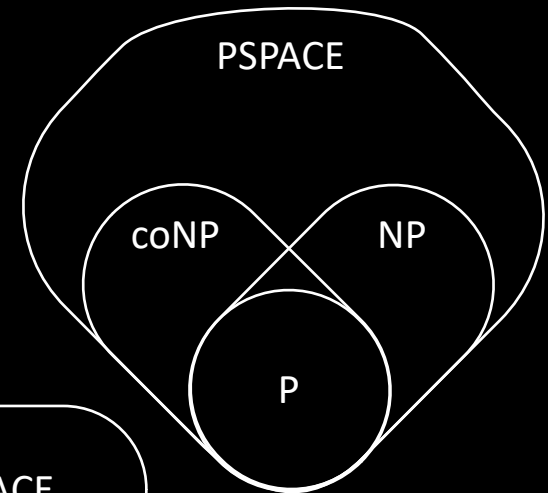
**Defn:** coNP =  $\{\bar{A} \mid A \in \text{NP}\}$

HAMPATH  $\in$  coNP

TAUTOLOGY =  $\{\langle \phi \rangle \mid \text{all assignments satisfy } \phi\} \in$  coNP

coNP  $\subseteq$  PSPACE (because PSPACE = coPSPACE)

P = PSPACE ? *Not known.*



Or possibly:

P = NP = coNP = PSPACE

## Example: *TQBF*

**Defn:** A quantified Boolean formula (QBF) is a Boolean formula with leading exists ( $\exists x$ ) and for all ( $\forall x$ ) quantifiers. All variables must lie within the scope of a quantifier.

A QBF is TRUE or FALSE.

**Examples:**  $\phi_1 = \forall x \exists y [(x \vee y) \wedge (\bar{x} \vee \bar{y})]$   
 $\phi_2 = \exists y \forall x [(x \vee y) \wedge (\bar{x} \vee \bar{y})]$

**Defn:**  $TQBF = \{\langle \phi \rangle \mid \phi \text{ is a QBF that is TRUE}\}$

Thus  $\phi_1 \in TQBF$  and  $\phi_2 \notin TQBF$ .

**Theorem:**  $TQBF \in PSPACE$

### Check-in 17.2

How is *SAT* a special case of *TQBF*?

- (a) Remove all quantifiers.
- (b) Add  $\exists$  and  $\forall$  quantifiers.
- (c) Add only  $\exists$  quantifiers.
- (d) Add only  $\forall$  quantifiers.

# $TQBF \in PSPACE$

**Theorem:**  $TQBF \in PSPACE$

Proof: “On input  $\langle \phi \rangle$

1. If  $\phi$  has no quantifiers, then  $\phi$  has no variables so either  $\phi = \text{True}$  or  $\phi = \text{False}$ . Output accordingly.
2. If  $\phi = \exists x \psi$  then evaluate  $\psi$  with  $x = \text{TRUE}$  and  $x = \text{FALSE}$  recursively. *Accept* if either accepts. *Reject* if not.
3. If  $\phi = \forall x \psi$  then evaluate  $\psi$  with  $x = \text{TRUE}$  and  $x = \text{FALSE}$  recursively. *Accept* if both accept. *Reject* if not.”

Space analysis:

Each recursive level uses constant space (to record the  $x$  value).

The recursion depth is the number of quantifiers, at most  $n = |\langle \phi \rangle|$ .

So  $TQBF \in SPACE(n)$

# Example: Ladder Problem

A ladder is a sequence of strings of a common length where consecutive strings differ in a single symbol.

A word ladder for English is a ladder of English words.

Let  $A$  be a language. A ladder in  $A$  is a ladder of strings in  $A$ .

**Defn:**  $LADDER_{DFA} = \{\langle B, u, v \rangle \mid B \text{ is a DFA and } L(B) \text{ contains a ladder } y_1, y_2, \dots, y_k \text{ where } y_1 = u \text{ and } y_k = v\}$ .

**Theorem:**  $LADDER_{DFA} \in \text{NPSpace}$

WORK
PORK
PORT
SORT
SOOT
SLOT
PLOT
PLOY
PLAY

# $LADDER_{DFA} \in NPSPACE$

Theorem:  $LADDER_{DFA} \in NPSPACE$

Proof idea: Nondeterministically guess the sequence from  $u$  to  $v$ .

Careful- (a) cannot store sequence, (b) must terminate.

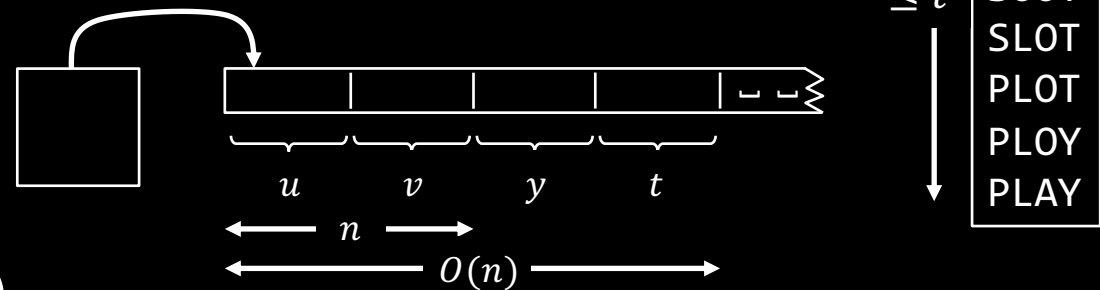
Proof: "On input  $\langle B, u, v \rangle$

1. Let  $y = u$  and let  $m = |u|$ .
2. Repeat at most  $t$  times where  $t = |\Sigma|^m$ .
3. Nondeterministically change one symbol in  $y$ .
4. *Reject* if  $y \notin L(B)$ .
5. *Accept* if  $y = v$ .
6. *Reject* [exceeded  $t$  steps].

Space used is for storing  $y$  and  $t$ .

$LADDER_{DFA} \in NPSPACE(n)$ .

Theorem:  $LADDER_{DFA} \in PSPACE (!)$





# $LADDER_{DFA} \in PSPACE$

Theorem:  $LADDER_{DFA} \in SPACE(n^2)$

Proof: Write  $u \xrightarrow{b} v$  if there's a ladder from  $u$  to  $v$  of length  $\leq b$ .

Here's a recursive procedure to solve the bounded DFA ladder problem:

$BOUNDED-LADDER_{DFA} = \{\langle B, u, v, b \rangle \mid B \text{ a DFA and } u \xrightarrow{b} v \text{ by a ladder in } L(B)\}$

$B-L =$  "On input  $\langle B, u, v, b \rangle$  Let  $m = |u| = |v|$ .

1. For  $b = 1$ , *accept* if  $u, v \in L(B)$  and differ in  $\leq 1$  place, else *reject*.
2. For  $b > 1$ , repeat for each  $w$  of length  $|u|$
3. Recursively test  $u \xrightarrow{b/2} w$  and  $w \xrightarrow{b/2} v$  [division rounds up]
4. *Accept* both accept.
5. *Reject* [if all fail]."

Test  $\langle B, u, v \rangle \in LADDER_{DFA}$  with  $B-L$  procedure on input  $\langle B, u, v, t \rangle$  for  $t = |\Sigma|^m$

Space analysis:

Each recursive level uses space  $O(n)$  (to record  $w$ ).

Recursion depth is  $\log t = O(m) = O(n)$ .

Total space used is  $O(n^2)$ .

## Check-in 17.3

Find an English word ladder connecting MUST and VOTE.

- (a) Already did it.
- (b) I will.

# Quick review of today

1. Space complexity
2.  $\text{SPACE}(f(n))$ ,  $\text{NSPACE}(f(n))$
3. PSPACE, NPSPACE
4. Relationship with TIME classes
5.  $TQBF \in \text{PSPACE}$
6.  $LADDER_{\text{DFA}} \in \text{NSPACE}(n)$
7.  $LADDER_{\text{DFA}} \in \text{SPACE}(n^2)$

MIT OpenCourseWare  
<https://ocw.mit.edu>

18.404J / 18.4041J / 6.840J Theory of Computation  
Fall 2020

For information about citing these materials or our Terms of Use, visit: <https://ocw.mit.edu/terms>.