

Assignments are to be submitted Gradescope by 24:00.

1. Let  $B$  be a Banach space.
  - (a) Prove that if  $T \in \mathcal{B}(B, B)$  and  $\|I - T\| < 1$  where  $I$  is the identity operator, then  $T$  is invertible and in fact  $\sum_{n=0}^{\infty} (I - T)^n$  converges in  $\mathcal{B}(B, B)$  to  $T^{-1}$ .
  - (b) Prove that the set of invertible operators is open in  $\mathcal{B}(B, B)$ .
2. Let  $V$  be a normed vector space and  $W \subset V$  a proper closed subspace.
  - (a) Prove that  $\|v + W\| := \inf_{w \in W} \|v + w\|$  is a norm on  $V/W$ .
  - (b) Prove that for any  $\epsilon > 0$  there exists  $v \in V$  such that  $\|v\| = 1$  and  $\|v + W\| \geq 1 - \epsilon$ .  
*Hint:* Let  $u \in V \setminus W$ . Then  $\|u + W\| > 0$  and there exists  $w \in W$  such that  $\|u + W\| \leq \|u + w\|$  and
 
$$\|u + w\| \leq \|u + W\| + \epsilon \|u + W\|.$$

Now consider  $\frac{u+w}{\|u+w\|}$ .

3. Let  $V$  be a Banach space and  $W \subset V$  a proper closed subspace. Prove that  $V/W$  with the norm defined in problem 2 is a Banach space.  
*Hint:* Suppose that the series  $\sum_n (v_n + W)$  is absolutely summable, i.e.  $\sum_n \|v_n + W\|$  converges. We wish to prove that  $\sum_n (v_n + W)$  converges in  $V/W$ . For each  $n \in \mathbb{N}$ , there exists  $w_n \in W$  such that
 
$$\|v_n + w_n\| \leq \|v_n + W\| + 2^{-n}.$$

Then  $\sum_n (v_n + w_n)$  is absolutely summable, and since  $V$  is a Banach space, there exists  $v \in V$  such that  $v = \sum_n (v_n + w_n)$ . Prove that  $v + W = \sum_n (v_n + W)$ , i.e.

$$\lim_{N \rightarrow \infty} v + W - \sum_{n=1}^N (v_n + W) = 0.$$

4. Suppose  $V$  and  $W$  are Banach spaces,  $T \in \mathcal{B}(V, W)$  and recall the following subspaces

$$\ker(T) = \{v \in V \mid Tv = 0\}, \quad \text{range}(T) = \{Tv \in W \mid v \in V\}.$$

- (a) Prove that  $\ker(T)$  is a closed subspace of  $V$ .
- (b) If  $V_1$  and  $V_2$  are normed linear spaces, we say a bijective linear operator  $S : V_1 \rightarrow V_2$  is an *isomorphism* if  $S \in \mathcal{B}(V_1, V_2)$  and  $S^{-1} \in \mathcal{B}(V_2, V_1)$ . We say  $V_1$  and  $V_2$  are *isomorphic* if there exists an isomorphism  $S : V_1 \rightarrow V_2$ .

Prove that  $V/\ker(T)$  is isomorphic to  $\text{range}(T)$  if and only if  $\text{range}(T)$  is closed.

*Hint:* Consider the map  $S : V/\ker T \rightarrow \text{range}(T)$  given by

$$S(v + \ker T) = Tv,$$

and first show that  $S$  is a well-defined, bijective bounded linear operator.

5. The following exercise shows we cannot drop certain hypotheses in the closed graph theorem and open mapping theorem. Let

$$W = \left\{ a = \{a_k\}_k \mid \sum_k k|a_k| < \infty \right\},$$

equipped with the  $\ell^1$  norm.

- (a) Prove that  $W$  is a proper, dense subspace of  $\ell^1$  (hence,  $W$  is not complete).

*Hint:* Show that if  $b = \{b_k\}_k \in \ell^1$  and  $\epsilon > 0$ , then there exists  $N \in \mathbb{N}$  such that if

$$a := \{b_1, b_2, \dots, b_N, 0, 0, \dots\} \in W,$$

then  $\|a - b\|_1 < \epsilon$ .

- (b) Define  $T : W \rightarrow \ell^1$  by  $(Ta)_k = ka_k$ . Prove that the graph of  $T$  is closed but  $T$  is not bounded.
- (c) Let  $S = T^{-1} : \ell^1 \rightarrow W$ . Prove that  $S$  is bounded and surjective but is not an open mapping.

MIT OpenCourseWare  
<https://ocw.mit.edu>

18.102 / 18.1021 Introduction to Functional Analysis  
Spring 2021

For information about citing these materials or our Terms of Use, visit: <https://ocw.mit.edu/terms>.