

6.730 Physics for Solid State Applications

Lecture 10, 11: Specific Heat of Discrete Lattice

Outline

- 2-D Lattice Waves Solutions
- Review Continuum Specific Heat Calculation
- Density of Modes
- Quantum Theory of Lattice Vibrations
- Specific Heat for Lattice
- Approximate Models

Lattice Waves in 3-D Crystals

Second order Taylor series expansion for total potential energy:

$$V(\{u[\mathbf{R}_s, t]\}) = V_0 + \frac{1}{2} \sum_i \sum_j \sum_{\mathbf{R}_p} \sum_{\mathbf{R}_m} u_i[\mathbf{R}_p, t] \widetilde{\mathbf{D}}_{i,j}(\mathbf{R}_p, \mathbf{R}_m) u_j[\mathbf{R}_m, t]$$

Harmonic Matrix:

$$\widetilde{\mathbf{D}}_{i,j}(\mathbf{R}_p, \mathbf{R}_m) = \left(\frac{\partial^2 V}{\partial u_i[\mathbf{R}_p, t] \partial u_j[\mathbf{R}_m, t]} \right)_{\text{eq}}$$

Equation of motion for lattice atoms assuming 'plane wave' solutions:

$$\left(\mathbf{M}^{-1} \mathbf{D}(\mathbf{k}) \right) \vec{\epsilon} = \omega^2 \vec{\epsilon}$$

Dynamical Matrix:

$$\mathbf{D}_{i,j}(\mathbf{k}) = \sum_{\mathbf{R}_p} \left(\frac{\partial^2 V}{\partial u_i[\mathbf{R}_s + \mathbf{R}_p, t] \partial u_j[\mathbf{R}_s, t]} \right)_{\text{eq}} e^{-i\mathbf{k} \cdot \mathbf{R}_p}$$

Lattice Waves in 3-D Crystals

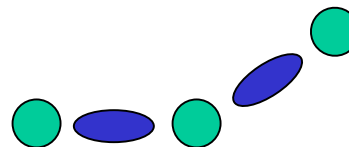
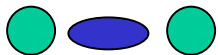
$$\left(\mathbf{M}^{-1}\mathbf{D}(\mathbf{k})\right)\vec{\epsilon} = \omega^2\vec{\epsilon}$$

$$\epsilon[\mathbf{R}_n] = \begin{pmatrix} \epsilon_{1x}[\mathbf{R}_n] \\ \epsilon_{2x}[\mathbf{R}_n] \\ \vdots \\ \epsilon_{1y}[\mathbf{R}_n] \\ \epsilon_{2y}[\mathbf{R}_n] \\ \vdots \\ \epsilon_{1z}[\mathbf{R}_n] \\ \epsilon_{2z}[\mathbf{R}_n] \\ \vdots \end{pmatrix} \quad \mathbf{M} = \begin{pmatrix} M_1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & M_2 & 0 & 0 & 0 & 0 & 0 & 0 & \dots \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & M_1 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & M_2 & 0 & 0 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & 0 & 0 & 0 & M_1 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & M_2 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Dimension of system is given by

(number of basis atoms) x (dimension of lattice)

Bond Stretching and Bending



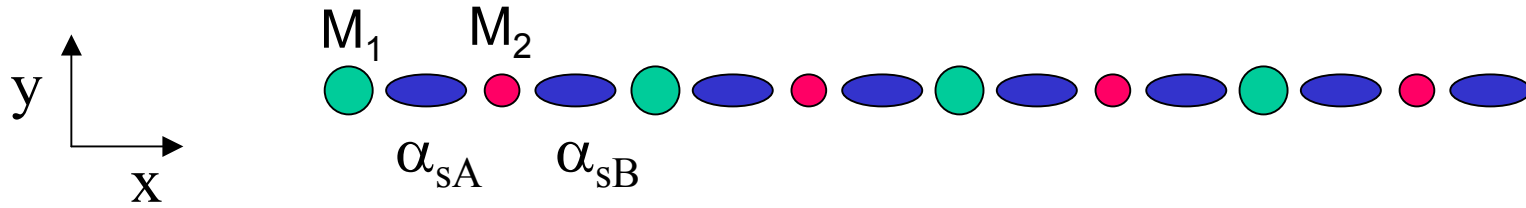
$$E_s = \frac{1}{2} \alpha_s (\Delta L)^2$$

$$E_\phi = \frac{1}{2} \alpha_\phi L^2 (\Delta\phi)^2$$

$$E_s = \frac{1}{2} \alpha_s \left(\hat{\mathbf{b}}_{i,j} \cdot (\mathbf{u}_j - \mathbf{u}_i) \right)^2$$

$$E_\phi = \frac{1}{2} \alpha_\phi \left(|\mathbf{u}_i - \mathbf{u}_j|^2 - \left[\hat{\mathbf{b}}_{i,j} \cdot (\mathbf{u}_i - \mathbf{u}_j) \right]^2 \right)$$

Example: 1-D Diatomic Lattice with Bond Stretching and Bending Potential Energy



$$E_s = \frac{1}{2} \alpha_s (\Delta L)^2$$

$$E_\phi = \frac{1}{2} \alpha_\phi L^2 (\Delta \phi)^2$$

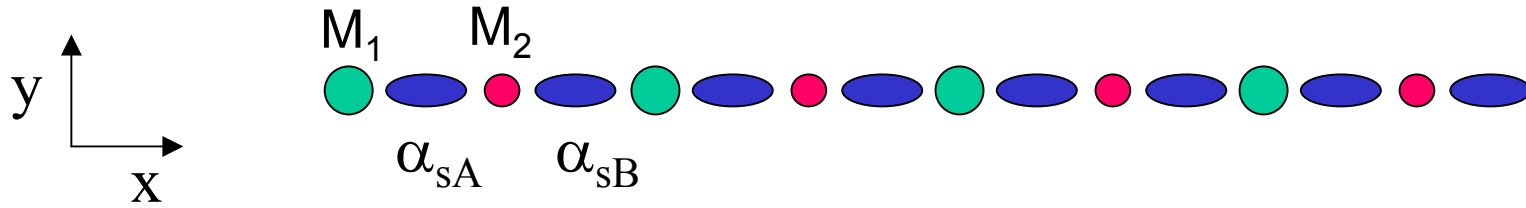
$$E_s = \frac{1}{2} \alpha_s \left(\hat{\mathbf{b}}_{i,j} \cdot (\mathbf{u}_j - \mathbf{u}_i) \right)^2$$

$$E_\phi = \frac{1}{2} \alpha_\phi \left(|\mathbf{u}_i - \mathbf{u}_j|^2 - \left[\hat{\mathbf{b}}_{i,j} \cdot (\mathbf{u}_i - \mathbf{u}_j) \right]^2 \right)$$

$$E_s = \frac{1}{2} \alpha_{sA} (u_{1x}[\mathbf{R}] - u_{2x}[\mathbf{R}])^2$$

$$E_\phi = \frac{1}{2} \alpha_\phi |u_{1y} - u_{2y}|^2$$

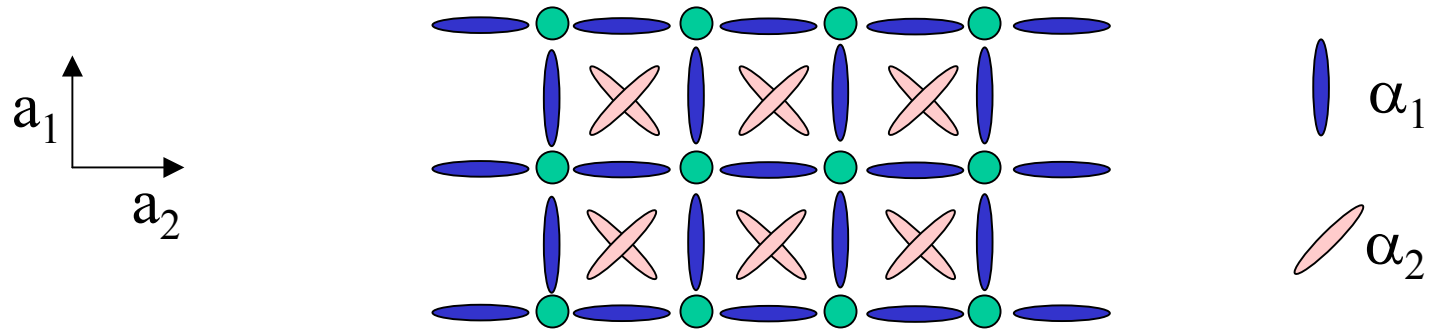
Example: '1-D' Diatomic Lattice with Bond Stretching and Bending Potential Energy



$$\begin{aligned}
 V = \dots & \frac{1}{2} \alpha_{sA} (u_{1x}[\mathbf{R}] - u_{2x}[\mathbf{R}])^2 + \frac{1}{2} \alpha_{\phi A} (u_{1y}[\mathbf{R}] - u_{2y}[\mathbf{R}])^2 \\
 & + \frac{1}{2} \alpha_{sB} (u_{1x}[\mathbf{R}] - u_{2x}[\mathbf{R} - \mathbf{a}])^2 + \frac{1}{2} \alpha_{\phi B} (u_{1y}[\mathbf{R}] - u_{2y}[\mathbf{R} - \mathbf{a}])^2 + \dots
 \end{aligned}$$

$$\mathbf{D}(\mathbf{k}) = \begin{pmatrix}
 & \mathbf{u}_{1x} & \mathbf{u}_{2x} & \mathbf{u}_{1y} & \mathbf{u}_{2y} \\
 \mathbf{u}_{1x} & \alpha_{sA} + \alpha_{sB} & -\alpha_{sA} - \alpha_{sB} e^{-ika} & 0 & 0 \\
 \mathbf{u}_{2x} & -\alpha_{sA} - \alpha_{sB} e^{ika} & \alpha_{sA} + \alpha_{sB} & 0 & 0 \\
 \mathbf{u}_{1y} & 0 & 0 & \alpha_{\phi A} + \alpha_{\phi B} & -\alpha_{\phi A} - \alpha_{\phi B} e^{-ika} \\
 \mathbf{u}_{2x} & 0 & 0 & -\alpha_{\phi A} - \alpha_{\phi B} e^{ika} & \alpha_{\phi A} + \alpha_{\phi B}
 \end{pmatrix}$$

Example: 2-D Lattice with Bond Stretching Potential Energy



$$\begin{aligned}
 V = \dots &+ \frac{\alpha_1}{2} |\hat{\mathbf{a}}_1 \cdot (\mathbf{u}[\mathbf{R} + \mathbf{a}_1] - \mathbf{u}[\mathbf{R}])|^2 + \frac{\alpha_1}{2} |\hat{\mathbf{a}}_1 \cdot (\mathbf{u}[\mathbf{R} - \mathbf{a}_1] - \mathbf{u}[\mathbf{R}])|^2 \\
 &+ \frac{\alpha_1}{2} |\hat{\mathbf{a}}_2 \cdot (\mathbf{u}[\mathbf{R} + \mathbf{a}_2] - \mathbf{u}[\mathbf{R}])|^2 + \frac{\alpha_1}{2} |\hat{\mathbf{a}}_2 \cdot (\mathbf{u}[\mathbf{R} - \mathbf{a}_2] - \mathbf{u}[\mathbf{R}])|^2 \\
 &+ \frac{\alpha_2}{2} \left| \frac{\hat{\mathbf{a}}_1 + \hat{\mathbf{a}}_2}{\sqrt{2}} \cdot (\mathbf{u}[\mathbf{R} + \mathbf{a}_1 + \mathbf{a}_2] - \mathbf{u}[\mathbf{R}]) \right|^2 \\
 &+ \frac{\alpha_2}{2} \left| \frac{-\hat{\mathbf{a}}_1 + \hat{\mathbf{a}}_2}{\sqrt{2}} \cdot (\mathbf{u}[\mathbf{R} - \mathbf{a}_1 + \mathbf{a}_2] - \mathbf{u}[\mathbf{R}]) \right|^2
 \end{aligned}$$

Example: 2-D Lattice with Bond Stretching

Elements of the Dynamical Matrix

$$\begin{aligned} D_{xx}(\mathbf{k}) = & \alpha_1 \left(1 - e^{-i\mathbf{k}\cdot\mathbf{a}_1}\right) + \alpha_1 \left(1 - e^{i\mathbf{k}\cdot\mathbf{a}_1}\right) + 0 + 0 \\ & + \frac{\alpha_2}{2} \left(1 - e^{-i\mathbf{k}\cdot(\mathbf{a}_1+\mathbf{a}_2)}\right) + \frac{\alpha_2}{2} \left(1 - e^{-i\mathbf{k}\cdot(-\mathbf{a}_1-\mathbf{a}_2)}\right) \\ & + \frac{\alpha_2}{2} \left(1 - e^{-i\mathbf{k}\cdot(-\mathbf{a}_1+\mathbf{a}_2)}\right) + \frac{\alpha_2}{2} \left(1 - e^{-i\mathbf{k}\cdot(\mathbf{a}_1-\mathbf{a}_2)}\right) \end{aligned}$$

Example: 2-D Lattice with Bond Stretching

Dynamical Matrix

$$\mathbf{D}(\mathbf{k}) = \begin{pmatrix} 2\alpha_1(1 - \cos k_x a) + 2\alpha_2(1 - \cos k_x a \cos k_y a) & 2\alpha_2 \sin k_x a \sin k_y a \\ 2\alpha_2 \sin k_x a \sin k_y a & 2\alpha_1(1 - \cos k_y a) + 2\alpha_2(1 - \cos k_x a \cos k_y a) \end{pmatrix}$$

$$\mathbf{M} = M \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\frac{1}{M} \mathbf{D}(\mathbf{k}) \tilde{\epsilon} = \omega^2 \tilde{\epsilon}$$

Example: 2-D Lattice with Bond Stretching Dispersion Relation

$$\mathbf{D}(k_x, 0) = \begin{pmatrix} 2(\alpha_1 + \alpha_2)(1 - \cos k_x a) & 0 \\ 0 & 2\alpha_2(1 - \cos k_x a) \end{pmatrix}$$

Longitudinal Waves:

$$\omega_1(k_x, 0) = \sqrt{\frac{4(\alpha_1 + \alpha_2)}{M}} \sin \frac{k_x a}{2} \quad \vec{\epsilon}_1(k_x, 0) = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Transverse Waves:

$$\omega_2(k_x, 0) = \sqrt{\frac{4\alpha_2}{M}} \sin \frac{k_x a}{2} \quad \vec{\epsilon}_2(k_x, 0) = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

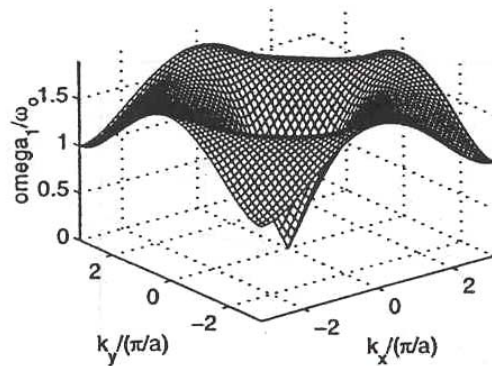
$$\tilde{u}_i[\mathbf{R}_n, t] = e^{i(\mathbf{k} \cdot \mathbf{R}_n - \omega_i(\mathbf{k})t)} \tilde{\epsilon}_i(\mathbf{k})$$

Example: 2-D Lattice with Bond Stretching

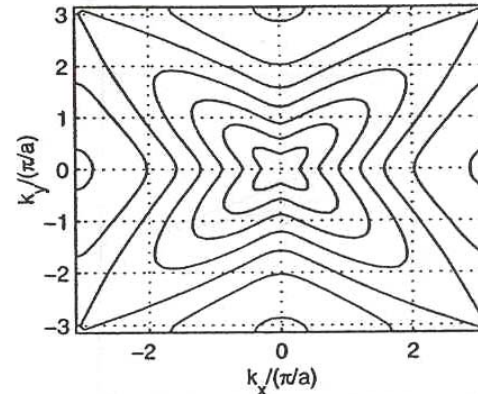
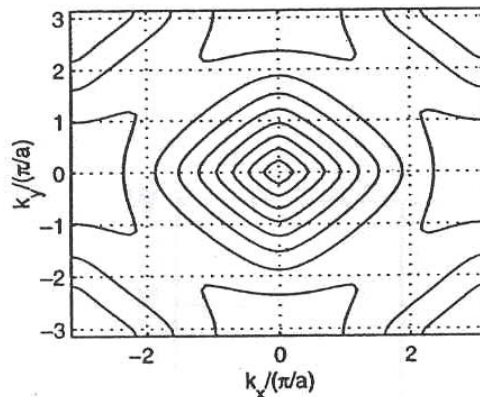
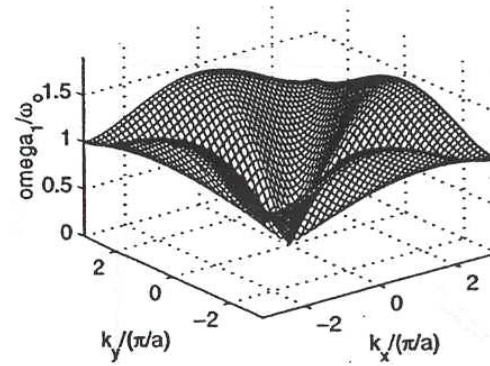
Dispersion Relations

$$D(\mathbf{k}) = \begin{pmatrix} 2\alpha_1(1 - \cos k_x a) + 2\alpha_2(1 - \cos k_x a \cos k_y a) & 2\alpha_2 \sin k_x a \sin k_y a \\ 2\alpha_2 \sin k_x a \sin k_y a & 2\alpha_1(1 - \cos k_y a) + 2\alpha_2(1 - \cos k_x a \cos k_y a) \end{pmatrix}$$

Longitudinal Mode for $\alpha_2/\alpha_1 = 0.5$

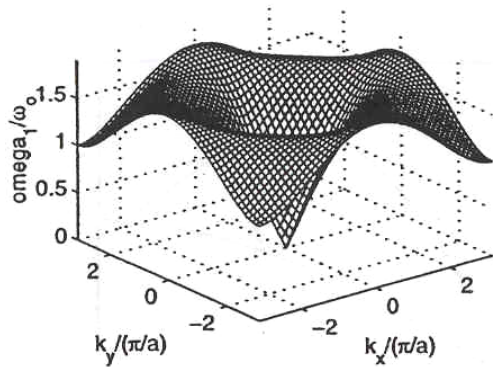


Transverse Mode for $\alpha_2/\alpha_1 = 0.5$

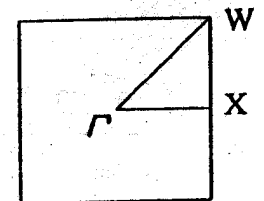
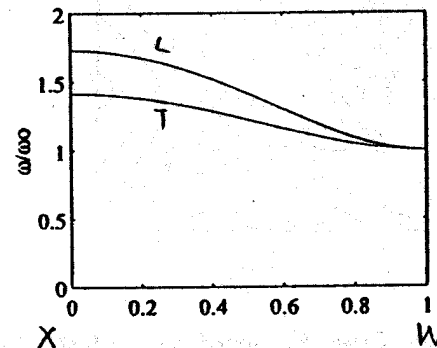
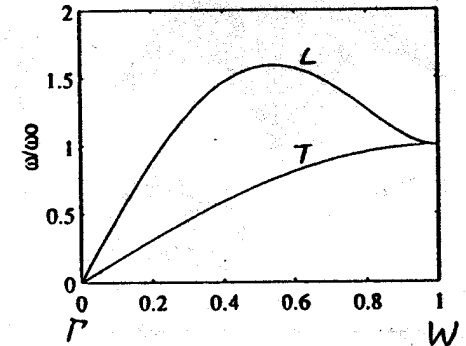
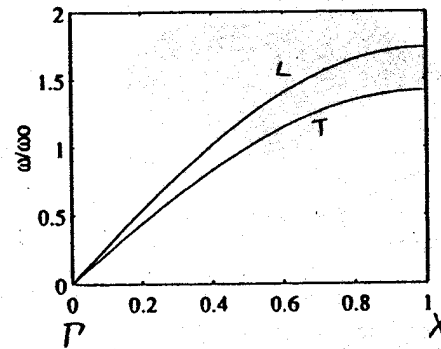
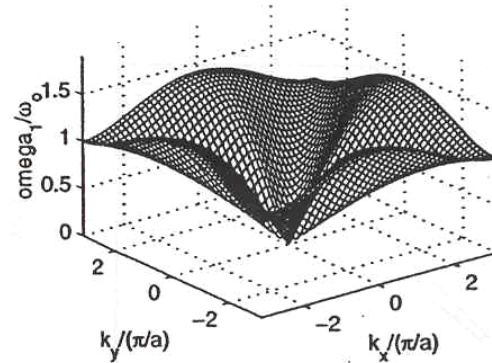


Example: 2-D Lattice with Bond Stretching Dispersion Relations

Longitudinal Mode for $\alpha_2/\alpha_1 = 0.5$



Transverse Mode for $\alpha_2/\alpha_1 = 0.5$



Specific Heat of Solid

How much energy is in each mode ?

Approach:

- Quantize the amplitude of vibration for each mode
- Treat each quanta of vibrational excitation as a bosonic particle, *the phonon*

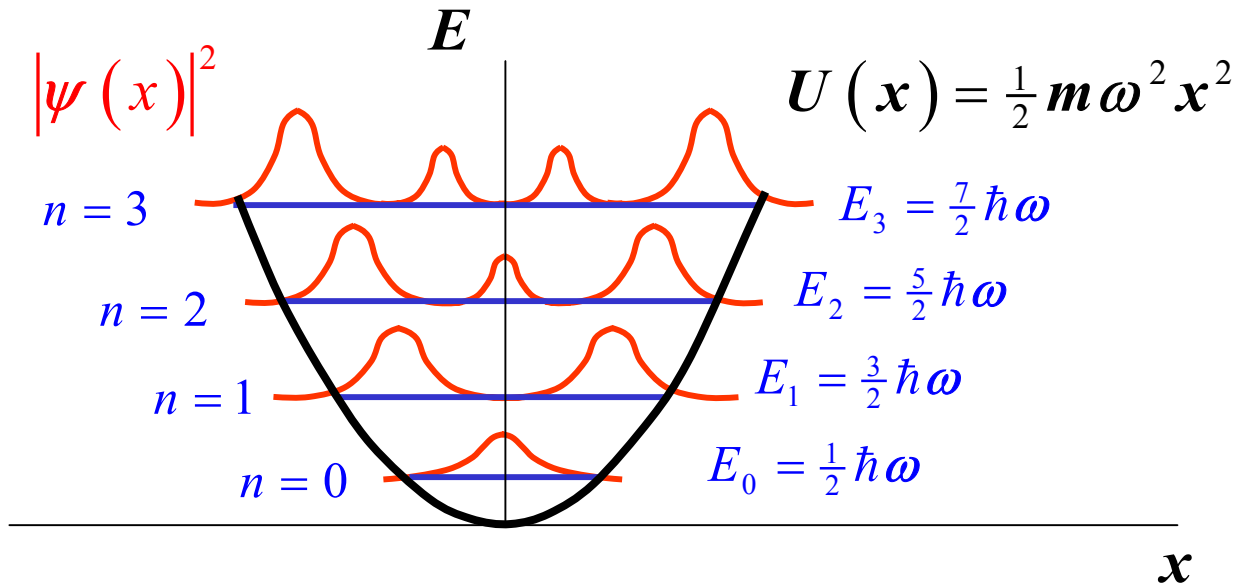
$$E = \sum_{\mathbf{k}, \sigma} \hbar \omega_{\mathbf{k}, \sigma} \left[\langle n_{\mathbf{k}, \sigma} \rangle + \frac{1}{2} \right]$$

- Use Bose-Einstein statistics to determine the number of phonons in each mode

$$\langle n_{\mathbf{k}, \sigma} \rangle = \frac{1}{e^{\hbar \omega_{\mathbf{k}, \sigma} / k_B T} - 1}$$

$$\frac{E}{V} = \sum_{\sigma} \int \frac{\hbar \omega}{e^{\hbar \omega / k_B T} - 1} g_{\sigma}(\omega) d\omega$$

Simple Harmonic Oscillator



$$\hat{a} = \sqrt{\frac{M\omega^2}{2\hbar}} \hat{x} + i\sqrt{\frac{1}{2M\hbar\omega}} \hat{p}$$

$$\hat{a}^\dagger = \sqrt{\frac{M\omega^2}{2\hbar}} \hat{x} - i\sqrt{\frac{1}{2M\hbar\omega}} \hat{p}$$

$$[\hat{x}, \hat{p}] = i\hbar \quad \longrightarrow \quad [\hat{a}, \hat{a}^\dagger] = 1$$

$$H = \hbar\omega \left[a^\dagger a + \frac{1}{2} \right]$$

Hamiltonian for Discrete Lattice

Potential energy of bonds in 3-D lattice with basis:

$$V(\{u[\mathbf{R}_s, t]\}) = V_0 + \frac{1}{2} \sum_i \sum_j \sum_{\mathbf{R}_p} \sum_{\mathbf{R}_m} u_i[\mathbf{R}_p, t] \widetilde{\mathbf{D}}_{i,j}(\mathbf{R}_p, \mathbf{R}_m) u_j[\mathbf{R}_m, t]$$

For single atom basis in 3-D, μ & ν denote x,y, or z direction:

$$H = \frac{M}{2} \sum_{\mathbf{R}_j} \sum_{\mu} \dot{u}_{\mu}[\mathbf{R}_j, t] \dot{u}_{\mu}[\mathbf{R}_j, t] + \sum_{\mathbf{R}_j} \sum_{\mathbf{R}_k} \sum_{\mu} \sum_{\nu} u_{\mu}[\mathbf{R}_j, t] \widetilde{\mathbf{D}}_{\mu\nu}(\mathbf{R}_j - \mathbf{R}_k) u_{\nu}[\mathbf{R}_k, t]$$

$$[\hat{x}, \hat{p}] = i\hbar \quad \longrightarrow \quad M [u_{\mu}[\mathbf{R}_j, t], \dot{u}_{\nu}[\mathbf{R}_k, t]] = i\hbar \delta_{\mu,\nu} \delta_{R_j, R_k}$$

Hamiltonian for Discrete Lattice Plane Wave Expansion

$$H = \frac{M}{2} \sum_{\mathbf{R}_j} \sum_{\mu} \dot{\mathbf{u}}_{\mu}[\mathbf{R}_j, t] \dot{\mathbf{u}}_{\mu}[\mathbf{R}_j, t] + \sum_{\mathbf{R}_j} \sum_{\mathbf{R}_k} \sum_{\mu} \sum_{\nu} \mathbf{u}_{\mu}[\mathbf{R}_j, t] \tilde{\mathbf{D}}_{\mu\nu}(\mathbf{R}_j - \mathbf{R}_k) \mathbf{u}_{\nu}[\mathbf{R}_k, t]$$

The lattice wave can be represented as a superposition of plane waves (eigenmodes) with a known dispersion relation (eigenvalues)....

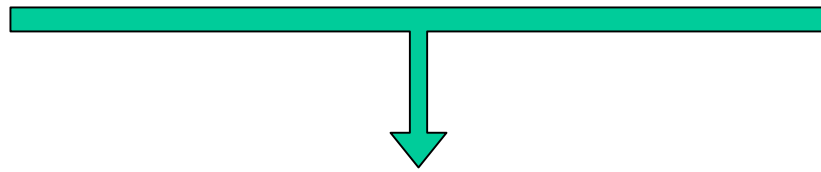
$$\mathbf{u}[\mathbf{R}_j, t] = \sum_{\mathbf{k}} \sum_{\sigma} \mathbf{b}_{\mathbf{k}\sigma} e^{i\mathbf{k}\mathbf{R}_j} \tilde{\epsilon}_{\mathbf{k}\sigma} \quad \sum_{\nu} \tilde{\mathbf{D}}_{\mu\nu} \tilde{\epsilon}_{\mathbf{k}\sigma\nu} = M\omega_{\mathbf{k}\sigma}^2 \tilde{\epsilon}_{\mathbf{k}\sigma\mu}$$

σ denotes polarization

$$H = \frac{MN}{2} \sum_{\mathbf{k}} \sum_{\sigma} \dot{\mathbf{b}}_{-\mathbf{k}\sigma} \dot{\mathbf{b}}_{\mathbf{k}\sigma} + \frac{MN}{2} \sum_{\mathbf{k}} \sum_{\sigma} \omega_{\mathbf{k}\sigma}^2 \mathbf{b}_{-\mathbf{k}\sigma} \mathbf{b}_{\mathbf{k}\sigma}$$

Commutation Relation for Plane Wave Displacement

$$M \left[\mathbf{u}_\mu[\mathbf{R}_j, t], \dot{\mathbf{u}}_\nu[\mathbf{R}_k, t] \right] = i\hbar \delta_{\mu,\nu} \delta_{R_j, R_k} \quad \mathbf{u}[\mathbf{R}_j, t] = \sum_{\mathbf{k}} \sum_{\sigma} \mathbf{b}_{\mathbf{k}\sigma} e^{i\mathbf{k}\mathbf{R}_j} \tilde{\epsilon}_{\mathbf{k}\sigma}$$



$$M \sum_{\mathbf{k}} \sum_{\mathbf{k}'} \sum_{\sigma} \sum_{\sigma'} \left[\mathbf{b}_{\mathbf{k}\sigma}, \dot{\mathbf{b}}_{\mathbf{k}'\sigma'} \right] e^{i\mathbf{k}\mathbf{R}_j + i\mathbf{k}'\mathbf{R}_k} \epsilon_{\mu, \mathbf{k}\sigma} \epsilon_{\nu, \mathbf{k}'\sigma'} = i\hbar \delta_{\mu,\nu} \delta_{R_j, R_k}$$

$$\sum_{\mu} \epsilon_{\mu, \mathbf{k}\sigma} \epsilon_{\mu, \mathbf{k}\sigma'} = \delta_{\sigma, \sigma'}$$

$$\sum_{\sigma} \epsilon_{\mu, \mathbf{k}\sigma} \epsilon_{\nu, \mathbf{k}\sigma} = \delta_{\mu, \nu}$$

$$M \left[\mathbf{b}_{\mathbf{k}\sigma}, \dot{\mathbf{b}}_{\mathbf{k}'\sigma'} \right] = i\hbar \delta_{\sigma\sigma'} \delta_{\mathbf{k}, -\mathbf{k}'}$$

...commute unless we have same polarization and k-vector

Creation and Annihilation Operators for Lattice Waves

$$\hat{a}_{\mathbf{k}\sigma} = \sqrt{\frac{MN\omega_{\mathbf{k}\sigma}}{2\hbar}} b_{\mathbf{k}\sigma} + i\sqrt{\frac{MN}{2\hbar\omega_{\mathbf{k},\sigma}}} \dot{b}_{\mathbf{k}\sigma}$$

$$\hat{a}_{\mathbf{k}\sigma}^\dagger = \sqrt{\frac{MN\omega_{\mathbf{k}\sigma}}{2\hbar}} b_{-\mathbf{k}\sigma} - i\sqrt{\frac{MN}{2\hbar\omega_{\mathbf{k},\sigma}}} \dot{b}_{-\mathbf{k}\sigma}$$

$$[\hat{a}_{\mathbf{k},\sigma}, \hat{a}_{\mathbf{k}',\sigma'}^\dagger] = \delta_{\mathbf{k},\mathbf{k}'}\delta_{\sigma,\sigma'}$$

$$H = \sum_{\mathbf{k}} \sum_{\sigma} \frac{\hbar\omega_{\mathbf{k}\sigma}}{2} [a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma} + a_{-\mathbf{k}\sigma} a_{-\mathbf{k}\sigma}^\dagger]$$

$$H = \sum_{\mathbf{k}} \sum_{\sigma} \hbar\omega_{\mathbf{k}\sigma} \left[a_{\mathbf{k}\sigma}^\dagger a_{\mathbf{k}\sigma} + \frac{1}{2} \right]$$

Operators for the Lattice Displacement

$$\hat{a}_{\mathbf{k}\sigma} = \sum_{\mathbf{R}_j} \frac{e^{-i\mathbf{k}\mathbf{R}_j}}{\sqrt{N}} \vec{\epsilon}_{\mathbf{k}\sigma} \left(\sqrt{\frac{M\omega_{\mathbf{k}\sigma}}{2\hbar}} \mathbf{u}[\mathbf{R}_j, t] + i\sqrt{\frac{1}{2M\hbar\omega_{\mathbf{k}\sigma}}} M\dot{\mathbf{u}}[\mathbf{R}_j, t] \right)$$

$$\hat{a}_{\mathbf{k}\sigma}^\dagger = \sum_{\mathbf{R}_j} \frac{e^{i\mathbf{k}\mathbf{R}_j}}{\sqrt{N}} \vec{\epsilon}_{\mathbf{k}\sigma} \left(\sqrt{\frac{M\omega_{\mathbf{k}\sigma}}{2\hbar}} \mathbf{u}[\mathbf{R}_j, t] - i\sqrt{\frac{1}{2M\hbar\omega_{\mathbf{k}\sigma}}} M\dot{\mathbf{u}}[\mathbf{R}_j, t] \right)$$

$$\mathbf{u}[\mathbf{R}_j, t] = \sum_{\mathbf{k}} \sum_{\mu} \mathbf{b}_{\mathbf{k}\mu} e^{i\mathbf{k}\mathbf{R}_j} \tilde{\epsilon}_{\mathbf{k}\mu}$$

$$\mathbf{u}[\mathbf{R}_j, t] = \sum_{\mathbf{k}\sigma} \sqrt{\frac{\hbar}{2MN\omega_{\mathbf{k}\sigma}}} \left(\hat{a}_{\mathbf{k}\sigma} e^{i\mathbf{k}\mathbf{R}_j} + \hat{a}_{\mathbf{k}\dagger\sigma} e^{-i\mathbf{k}\mathbf{R}_j} \right) \tilde{\epsilon}_{\mathbf{k}\sigma}$$

We will use this for electron-phonon scattering...

Specific Heat with Continuum Model for Solid

$$\frac{E}{V} = \sum_{\sigma} \int \frac{\hbar\omega}{e^{\hbar\omega/k_B T} - 1} g_{\sigma}(\omega) d\omega$$

3-D continuum density of modes in $d\omega$: $g_{\sigma}(\omega) = \frac{\omega^2}{2\pi^2 c_{\sigma}^3}$

$$\begin{aligned} \frac{E}{V} &= \sum_{\sigma} \int \frac{\hbar\omega^3}{2\pi^2 c_{\sigma}^3 (e^{\hbar\omega/k_B T} - 1)} d\omega \\ &= \sum_{\sigma} \frac{\pi^2 k_B^4 T^4}{30 c_{\sigma}^3 \hbar^3} \end{aligned}$$

$$C_V = \frac{\partial(E/V)}{\partial T} = AT^3$$

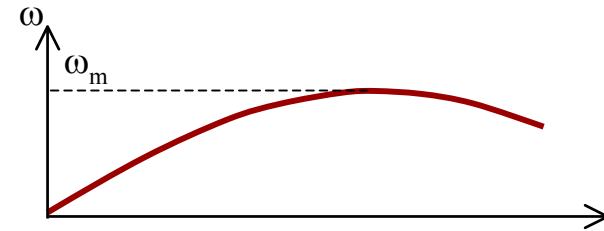
Specific Heat with Discrete Lattice

Density of Modes from Dispersion

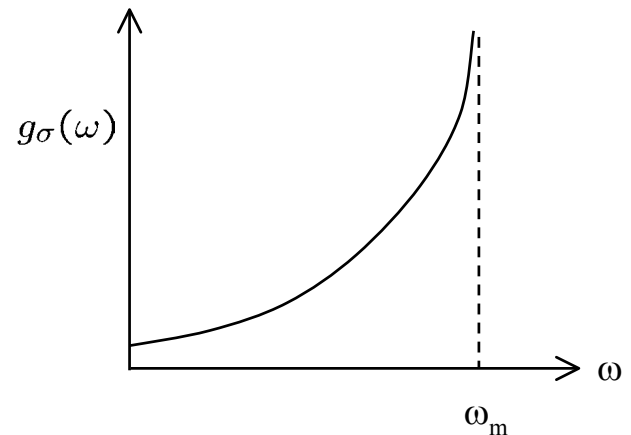
1-D continuum density of modes in $d\omega$: $g_\sigma(\omega)$

$$\frac{dk}{2\pi} = g(\omega) d\omega \quad \longrightarrow \quad g_{1D}(\omega) = 2 \frac{1}{2\pi} \frac{1}{|\partial\omega/\partial k|}$$

$$\omega = \omega_m \left| \sin\left(\frac{ka}{2}\right) \right| \quad \text{for} \quad \omega_m = 2\sqrt{\alpha/M}$$



$$g_{1D}(\omega) = \frac{2}{a\pi\omega_m} \frac{1}{\cos\left(\frac{ka}{2}\right)} = \frac{2}{\pi a} \frac{1}{\sqrt{\omega_m^2 - \omega^2}}$$

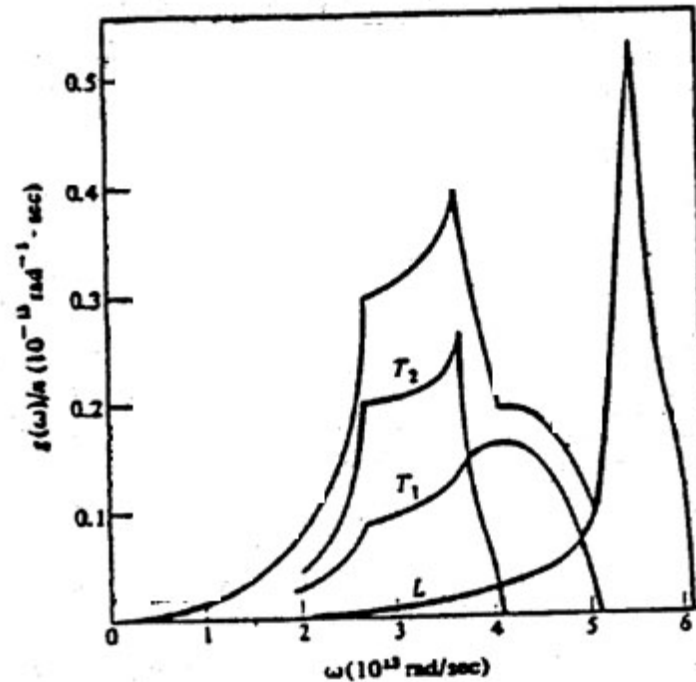
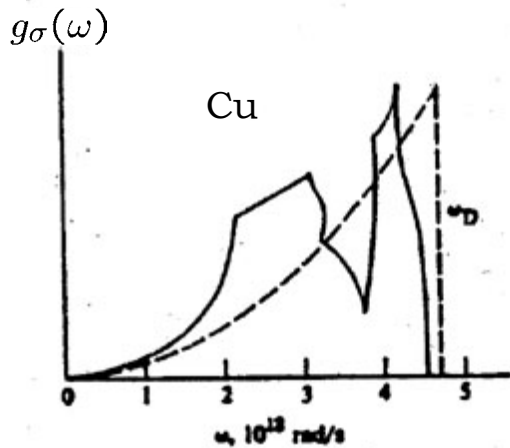


Specific Heat with Discrete Lattice

Density of Modes from Dispersion

$$\frac{E}{V} = \sum_{\sigma} \int \frac{\hbar\omega}{e^{\hbar\omega/k_B T} - 1} g_{\sigma}(\omega) d\omega$$

3-D continuum density of modes in $d\omega$: $g_{\sigma}(\omega)$



Specific Heat of Solid

How much energy is in each mode ?

Approach:

- Quantize the amplitude of vibration for each mode
- Treat each quanta of vibrational excitation as a bosonic particle, *the phonon*

$$H = \sum_{\mathbf{k}} \sum_{\sigma} \hbar \omega_{\mathbf{k}\sigma} \left[a_{\mathbf{k}\sigma}^{\dagger} a_{\mathbf{k}\sigma} + \frac{1}{2} \right]$$

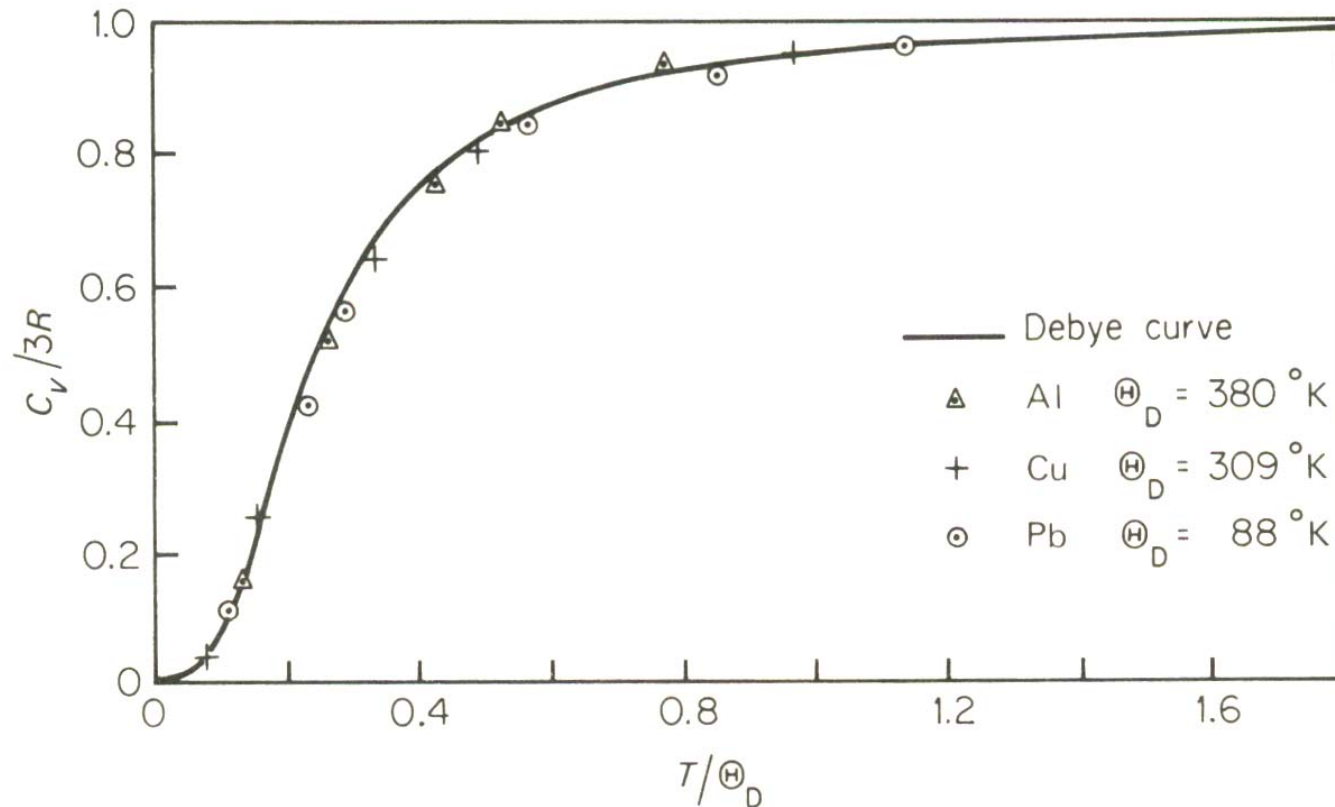
$$E = \sum_{\mathbf{k},\sigma} \hbar \omega_{\mathbf{k},\sigma} \left[\langle n_{\mathbf{k},\sigma} \rangle + \frac{1}{2} \right]$$

- Use Bose-Einstein statistics to determine the number of phonons in each mode

$$\langle n_{\mathbf{k},\sigma} \rangle = \frac{1}{e^{\hbar \omega_{\mathbf{k},\sigma}/k_B T} - 1} \quad \frac{E}{V} = \sum_{\sigma} \int \frac{\hbar \omega}{e^{\hbar \omega/k_B T} - 1} g_{\sigma}(\omega) d\omega$$

Specific Heat of Solid

How much energy is in each mode ?



And we are done...