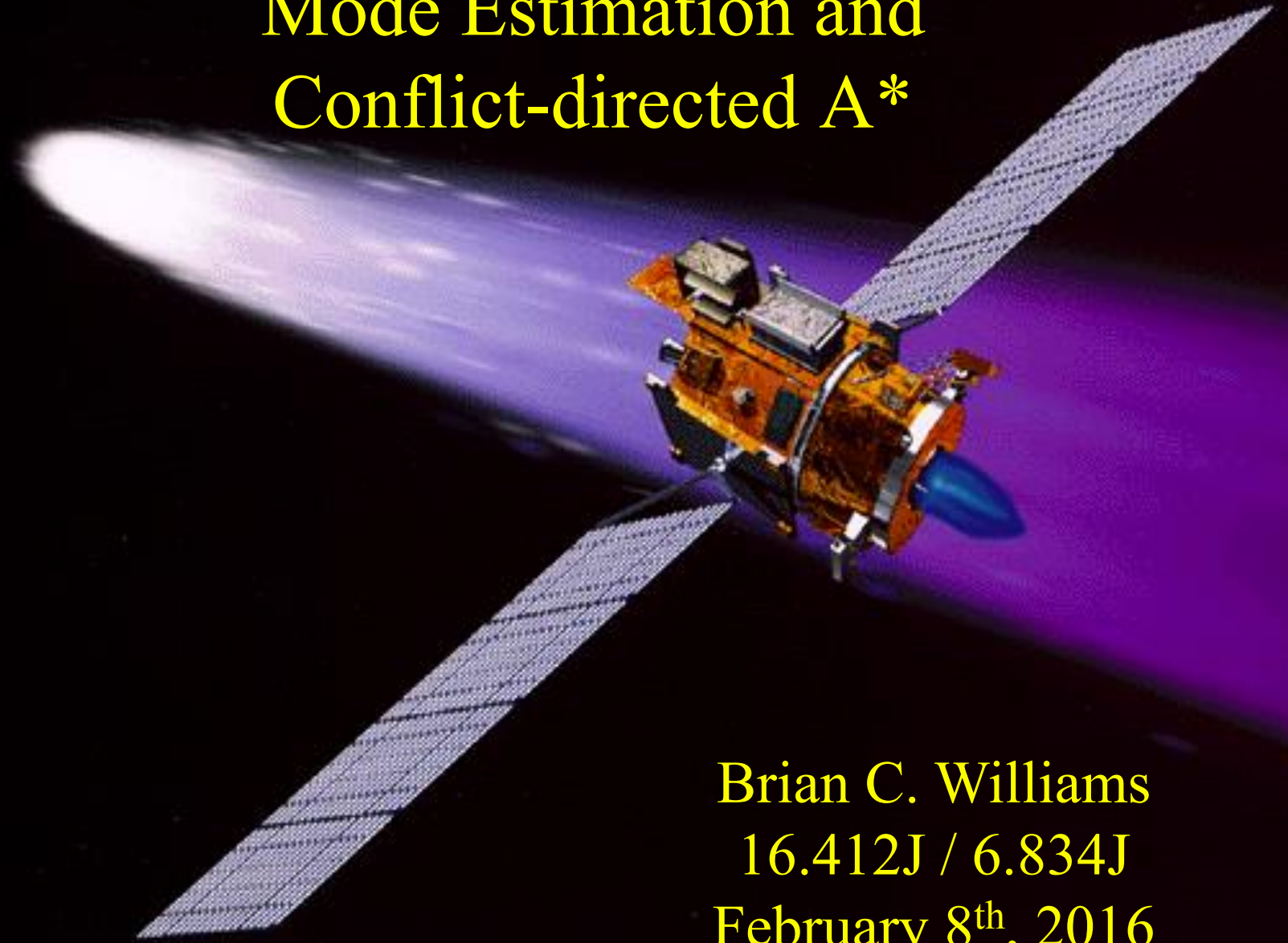


Programs that Monitor Hidden State: Mode Estimation and Conflict-directed A*



Brian C. Williams
16.412J / 6.834J
February 8th, 2016

Today's Assignments

Problems Sets:

- Problem Set #1, Out today, due Wed, February 17th.

Readings:

- **Today:** B. C. Williams, and R. Ragno, "Conflict-directed A* and its Role in Model-based Embedded Systems," Special Issue on Theory and Applications of Satisfiability Testing, *Journal of Discrete Applied Math*, January 2003.
- **Wednesday:** Same.

Background:

- 16.410/13 Lectures on Informed Search, Constraint Satisfaction, Propositional Satisfiability and Diagnosis.

Outline

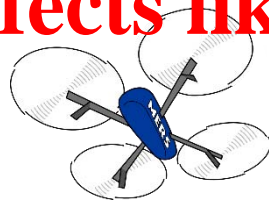
- Programs that monitor and control hidden states.
- Consistency-based Diagnosis

Programs that Monitor State

```
method run() {  
  sequence {  
    uav.launch();  
    uav.fly_to_base_station();  
    uav.pick_up_med_kit();  
    uav.fly_to_hikers();  
    uav.drop_off_med_kit();  
  }  
}
```



Base Station



Actions have preconditions & effects like before

Program sequence of actions in RMPL

A Traditional Reactive Programming Language

Expressions:

1. **s**

Conditions on sensors

2. **u**

Assignments to control variables

Control constructs:

1. **u**

Control assignments

2. **If s next A**

Conditional execution

3. **Unless s next A**

Preemption

4. **A, B**

Full concurrency

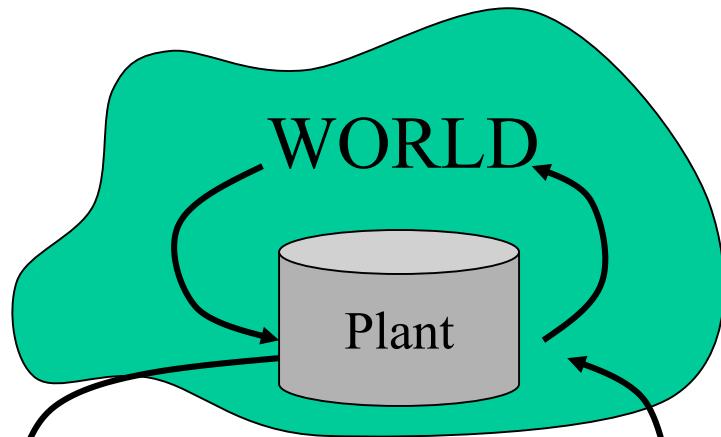
5. **Always A**

Iteration

where A, B are programs.

Action Model: PDDL

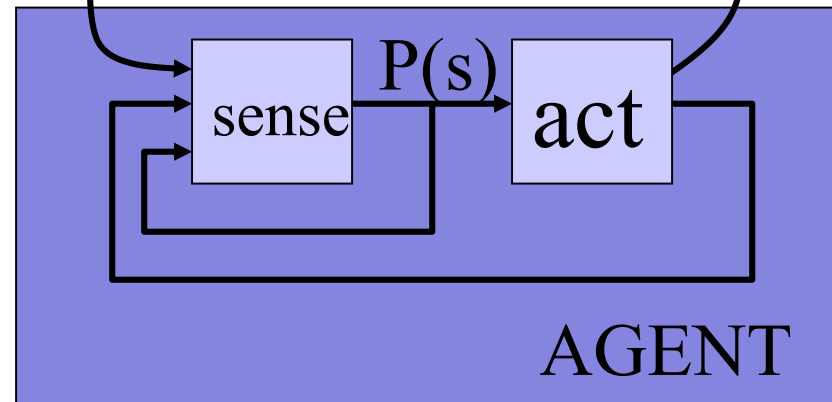
observations



actions

Self-Repairing Agent:

- **Monitors & Diagnoses**
- **Repairs & Avoids**
- **Probes and Tests**



Symptom-directed

Programs that Monitor and Control Hidden (Failure) States

Mission Storyboards

Specify Evolving States

engine to standby

planetary approach

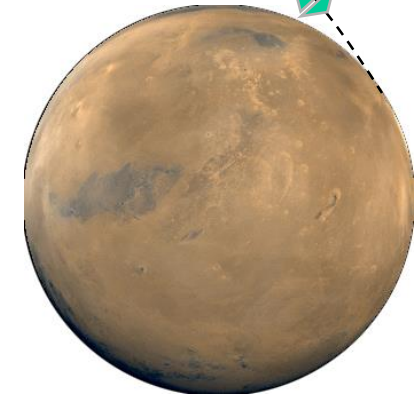
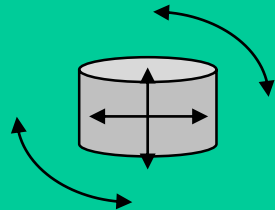
switch to
inertial nav

rotate to entry-orient
& hold attitude

separate
lander

Switch navigation mode:

"Inertial" = IMU only



Mission Storyboards

Specify Evolving States

engine to standby

planetary approach

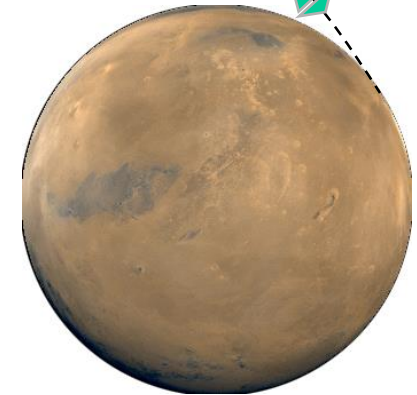
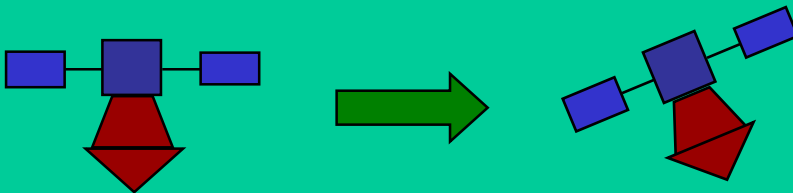
switch to
inertial nav

rotate to entry-orient
& hold attitude

separate
lander

Rotate spacecraft:

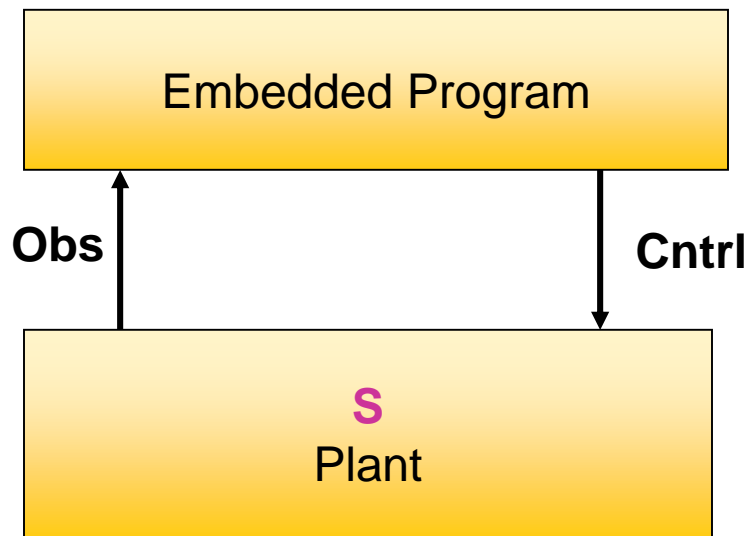
- command ACS to entry orientation



Like Storyboards, Model-based Programs Specify the Evolution of Abstract States

Embedded programs evolve actions by interacting with plant sensors and actuators:

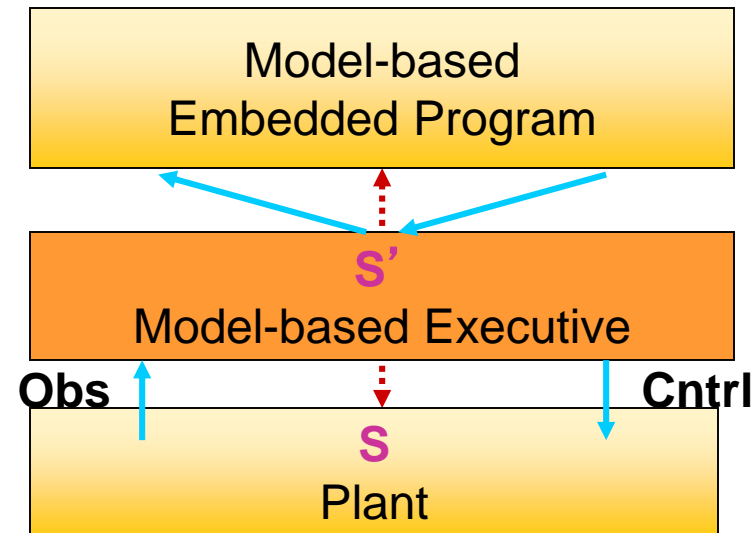
- Read sensors
- Set actuators



Programmer maps between state and sensors/actuators.

Model-based programs evolve abstract states through direct interaction:

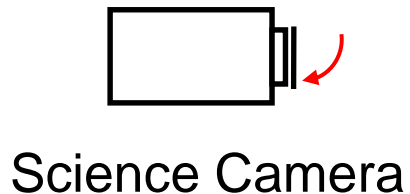
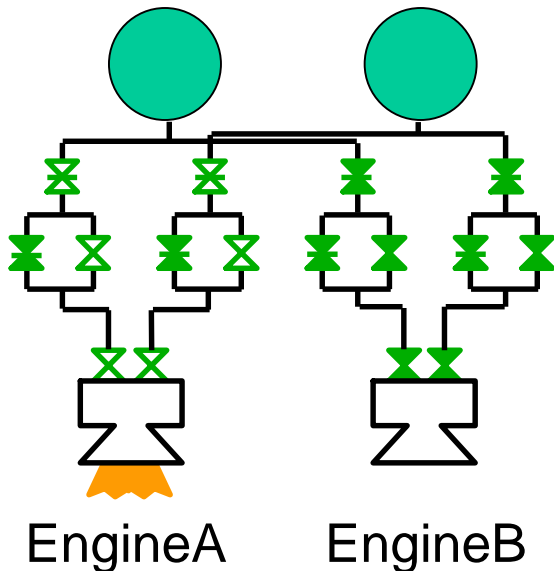
- Read abstract state
- Write abstract state



Model-based executive maps between state and sensors/actuators.

Model-based Programming of a Saturn Orbiter

Turn camera off and
engine on



OrbitInsert()::

```
do-watching (EngineA = Thrusting OR  
             EngineB = Thrusting)
```

```
parallel {
```

```
  EngineA = Standby;
```

```
  EngineB = Standby;
```

```
  Camera = Off;
```

```
do-watching (EngineA = Failed)
```

```
{when-donext (EngineA = Standby) AND  
             Camera = Off)
```

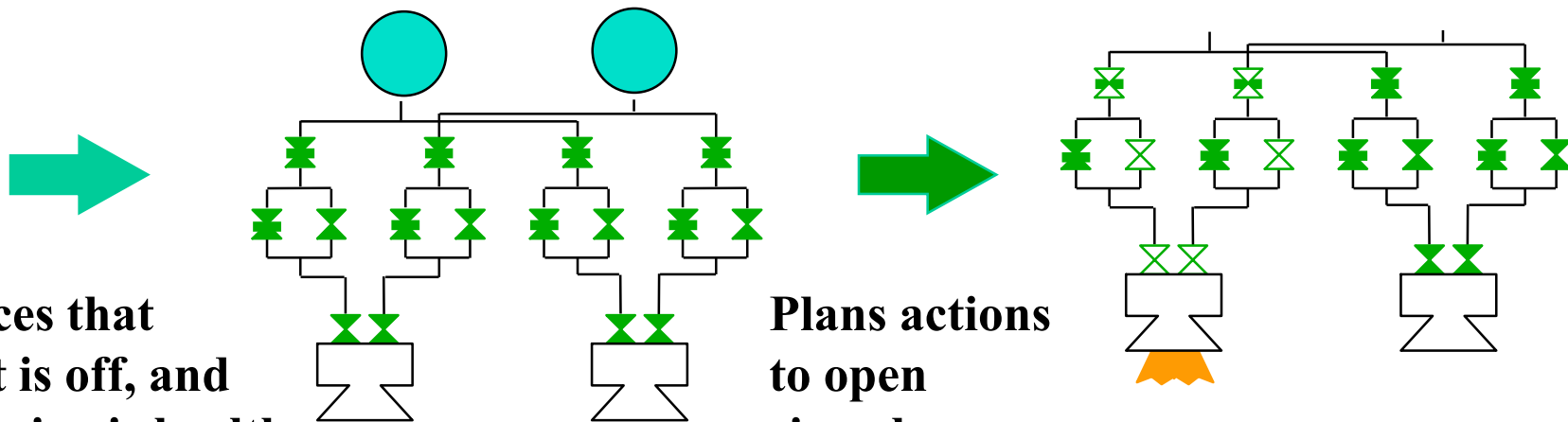
```
  EngineA = Thrusting};
```

```
when-donext (EngineA = Failed AND  
            EngineB = Standby AND  
            Camera = Off)
```

```
  EngineB = Thrusting}
```

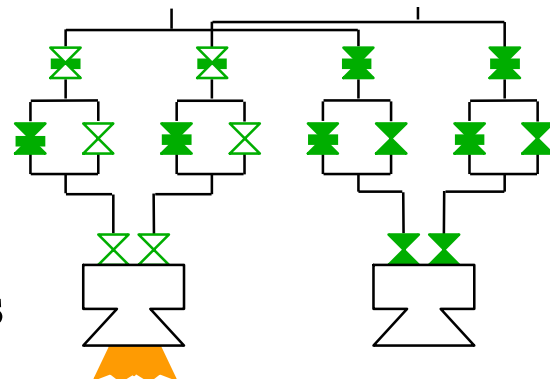
The program assigns **EngineA = Thrusting**,
and the model-based executive

Oxidizer tank Fuel tank



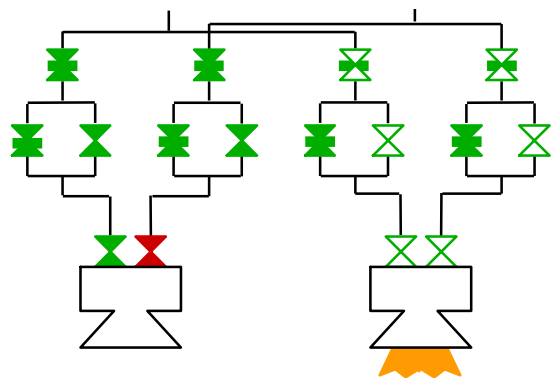
Deduces that thrust is off, and the engine is healthy

Plans actions to open six valves

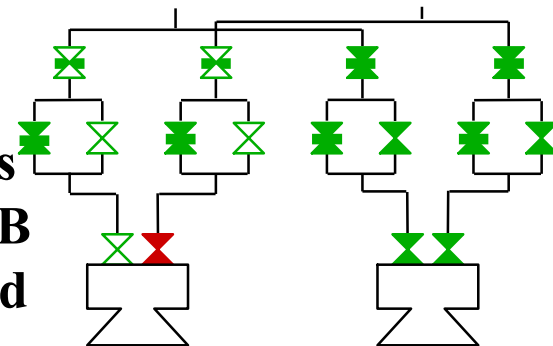


Deduces that a valve failed - stuck closed

Prog: EngineB = Thrusting



Determines that valves on the backup engine B will achieve thrust, and plans needed actions.



Plant Model: Probabilistic Constraint Automata (PCA)

component modes...

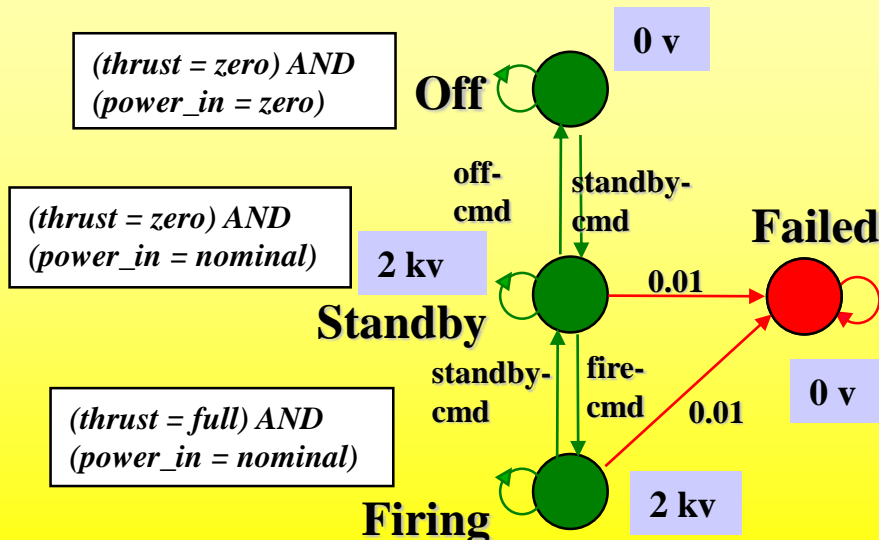
described by finite domain constraints on variables...

guarded deterministic and probabilistic transitions

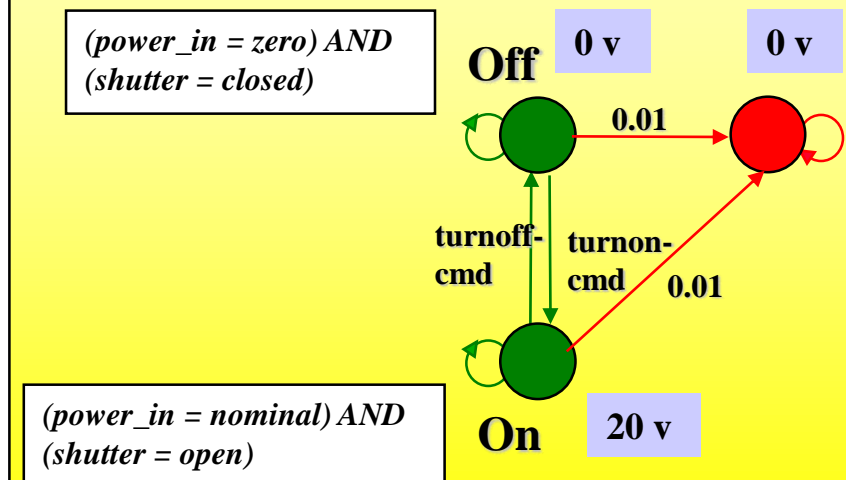
cost / reward & prior distribution

[Williams & Nayak 95,
Williams et al. 01]

Engine Model



Camera Model



one per component ... operating concurrently

A Reactive Model-based Programming Language (RMPL)

Idea: A concurrent constraint language (e.g. TCC/HCC [Saraswat et al.]

- whose constraints **c** operate on the **state of the plant s**, and
- replaces the constraint store with a **model-based controller**:

- | | | |
|----|---------------------------|--------------------------------------|
| 1. | c[s] | Primitive constraint on state |
| 2. | If c[s] next A | Conditional execution |
| 3. | Unless c[s] next A | Preemption |
| 4. | A, B | Full concurrency |
| 5. | Always A | Iteration |

Action Model:

Probabilistic

Constraint

Automata

RMP

```

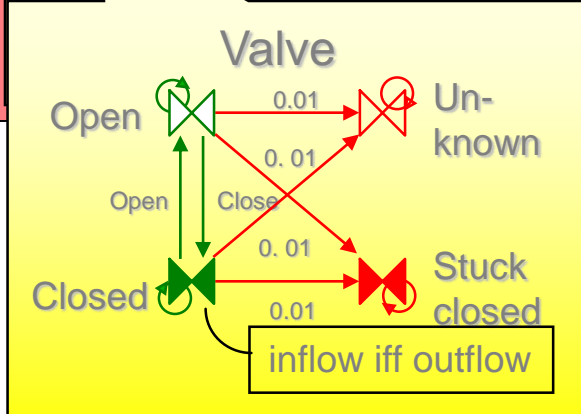
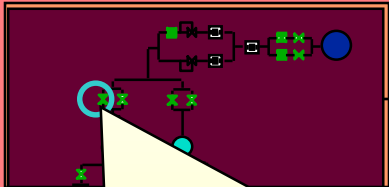
OrbitInsert():
(do-watching ((EngineA = Firing) OR
              (EngineB = Firing))
(parallel
  (EngineA = Standby)
  (EngineB = Standby)
  (Camera = Off)
  (do-watching (EngineA = Failed)
    (when-donext ( (EngineA = Standby) AND
                  (Camera = Off) )
      (EngineA = Firing)))
  (when-donext ( (EngineA = Failed) AND
                (EngineB = Standby) AND
                (Camera = Off) )
    (EngineB = Firing))))

```

Titan Model-based Executive

Generates target goal states conditioned on state estimates

System Model



State estimates

State goals

Tracks likely plant states

Tracks least cost goal states

Observations

Commands

Plant



Mode Estimation:

Select a most likely set of next component modes that are consistent with the model and past observations.

Mode Reconfiguration:

Select a least cost set of commandable component modes that entail the current goal, and are consistent.

Optimal CSP:

$$\arg \min f(x)$$

s.t. $C(x)$ is satisfiable

$D(x)$ is unsatisfiable

$$\arg \min P_t(Y | \text{Obs})$$

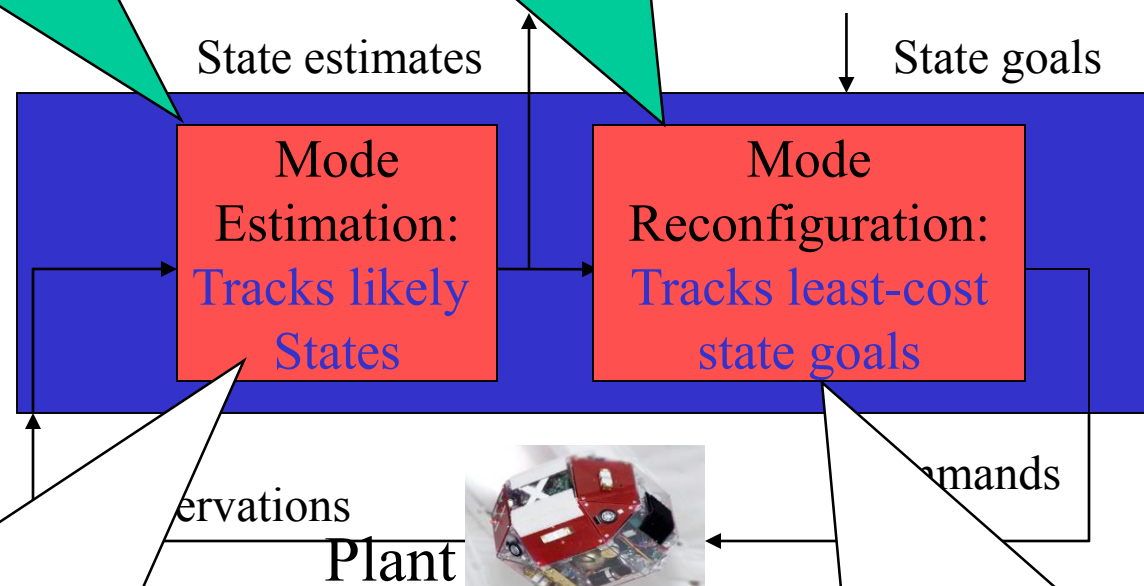
s.t. $\Psi(X, Y) \wedge O(m')$ is consistent

$$\arg \max R_t(Y)$$

s.t. $\Psi(X, Y)$ entails $G(X, Y)$

s.t. $\Psi(X, Y)$ is consistent

s.t. Y is reachable



Outline

- Programs that monitor and control hidden states.
- Consistency-based Diagnosis

Estimating Failure Modes Requires Reasoning from a Model: STS-93

Symptoms:

- Engine temp sensor high
- Oxygen level low
- Guidance detects low thrust
- Hydrogen level possibly low

Problem: Liquid hydrogen leak

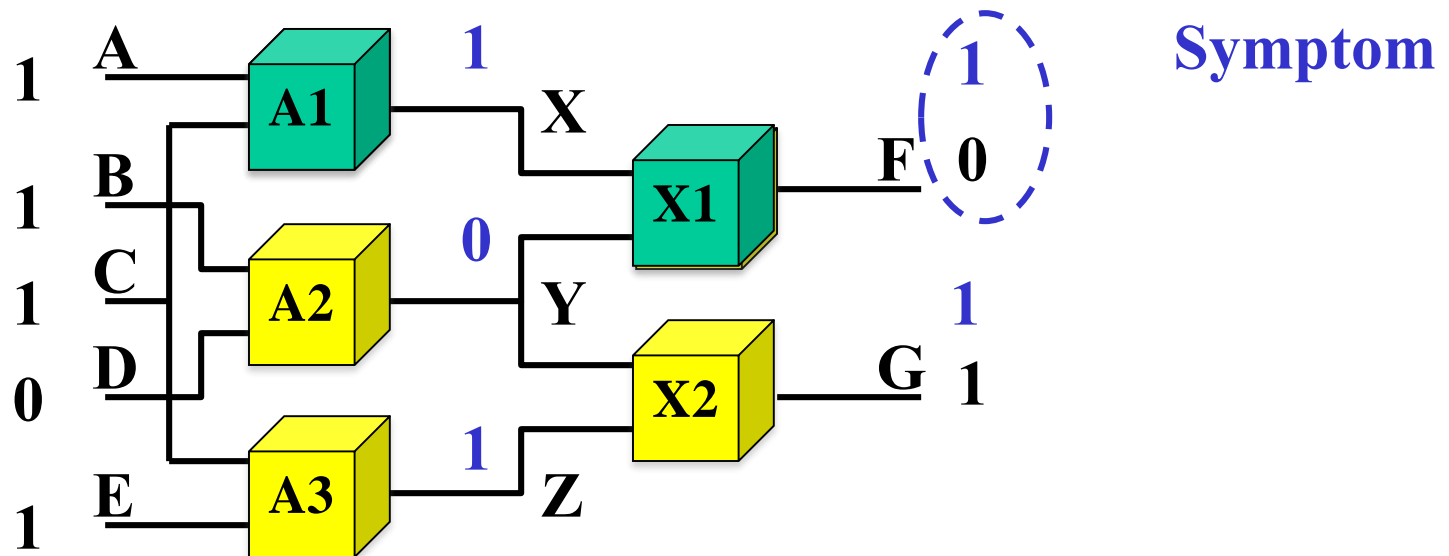
Effect:

- LH_2 used to cool engine
- Engine runs hot
- Consumes more LOX

Model-based Diagnosis

Input: **Observations** of a system with symptomatic behavior, and a **model Φ** of the system.

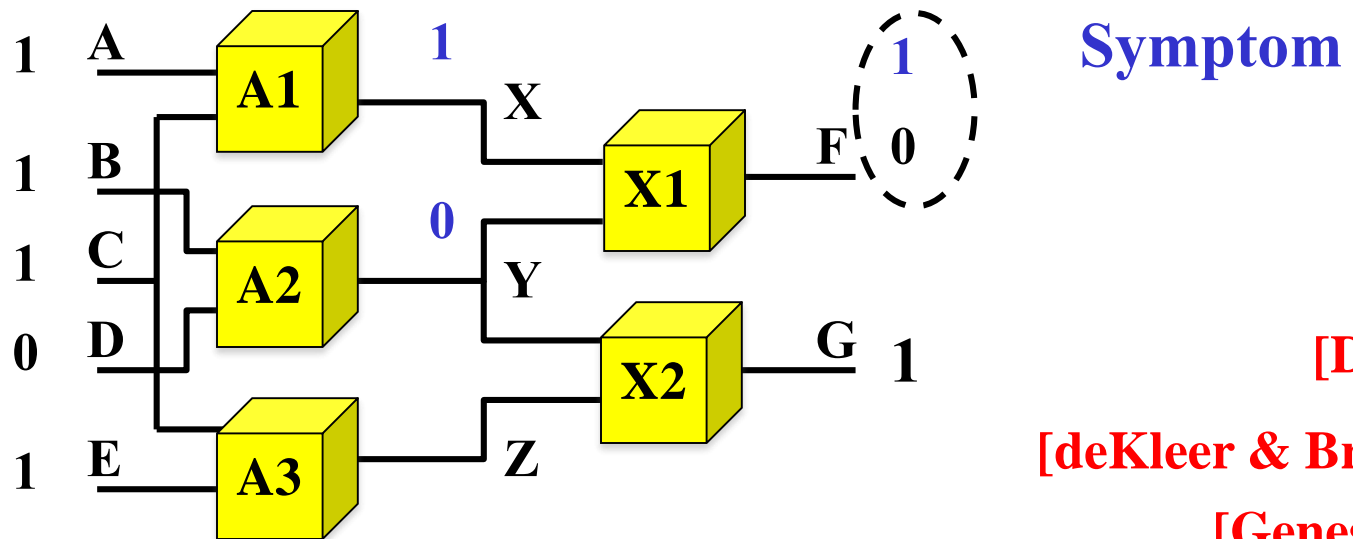
Output: **Diagnoses** that **account** for the **symptoms**.



How Should Diagnoses Account for Novel Symptoms?

Consistency-based Diagnosis: Given symptoms, find diagnoses that are consistent with symptoms.

Suspending Constraints: For novel faults, make no presumption about faulty component behavior.



[Davis, 84]

[deKleer & Brown, 83]

[Geneserth, 84]

Issue 3: Multiple Faults Occur



This image is in the public domain.

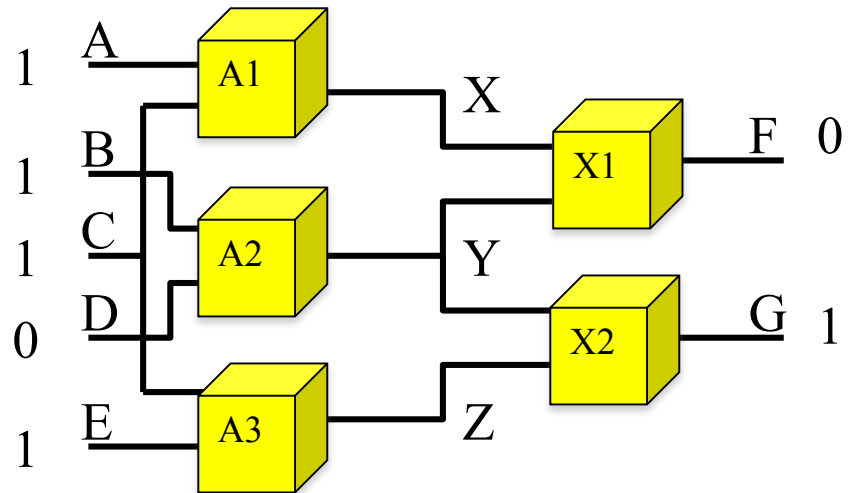
APOLLO 13 courtesy of NASA

- three shorts, tank-line and pressure jacket burst, panel flies off.
- ➔ Diagnosis = Mode Assignment
- ➔ Solution: Divide & Conquer

Solution: Identify all Combinations of Consistent “Unknown” Modes

And(i):

- G(i):
Out(i) = In1(i) AND In2(i)
- U(i): *No Constraint*



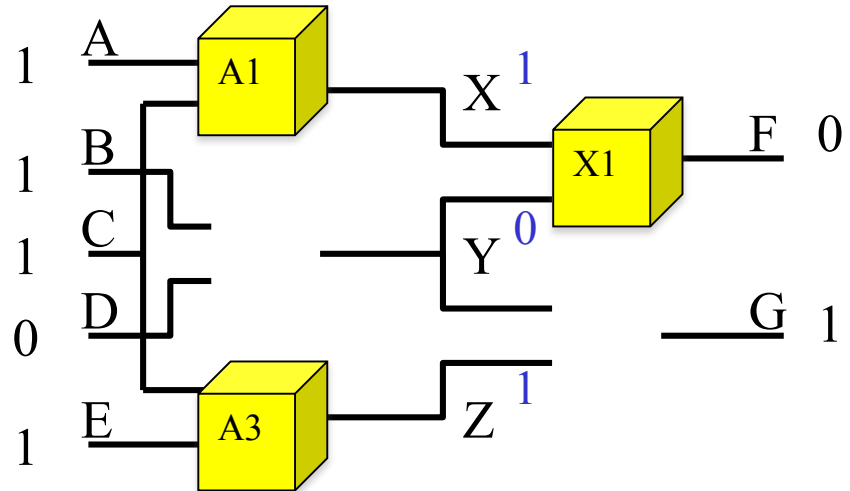
Candidate = {A1=G, A2=G, A3=G, X1=G, X2=G}

- Candidate: Assignment of G or U to each component.

Solution: Identify all Combinations of Consistent “Unknown” Modes

And(i):

- G(i):
Out(i) = In1(i) AND In2(i)
- U(i): *No Constraint*



Diagnosis = {A1=G, A2=U, A3=G, X1=G, X2=U}

- Candidate: Assignment of G or U to each component.
- Diagnosis: Candidate consistent with model and observations.

Mode Estimation

Given:

- Mode, State, Observation Variables: $X, Y,$ and $O \subseteq Y$
- Obs = assignment to O
- Model: $\Phi(X, Y) = \text{components} + \text{structure}$

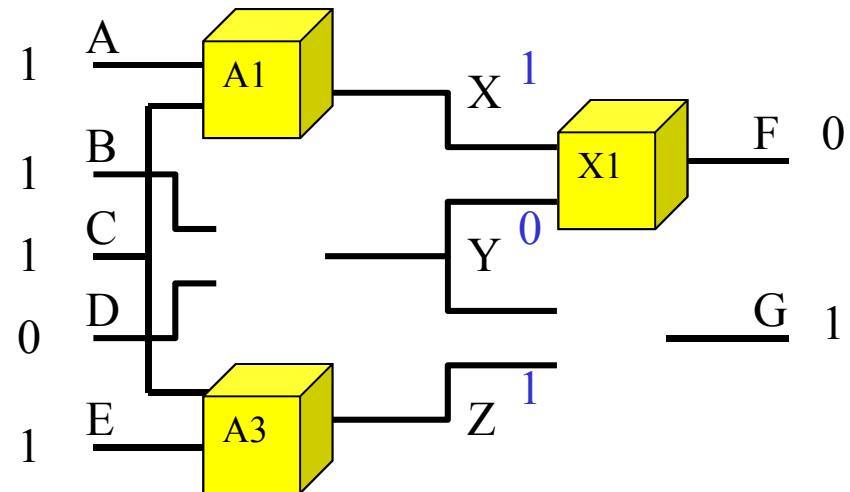
And(i):

G(i):

Out(i) = In1(i) AND In2(i)

U(i): *No Constraint*

- All behaviors are associated with modes.
- All components have “unknown Mode” U, whose assignment is never mentioned in any constraint.



Return: All mode estimates

$$M_{\Phi, obs} \equiv \{X \in D_X \mid \text{Obs} \wedge \Phi(X, Y) \text{ is satisfiable}\}$$

Models in Propositional State Logic

And(i):

- G(i):

$$\text{Out}(i) = \text{In1}(i) \text{ AND } \text{In2}(i) \quad i=G \setminus \{[\text{In1}(i)=1 \wedge \text{In2}(i)=1] \text{ iff } \text{Out}(i)=1\}$$

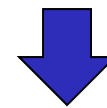
- U(i): *No Constraint*

Or(i):

- G(i):

$$\text{Out}(i) = \text{In1}(i) \text{ OR } \text{In2}(i) \quad i=G \setminus \{[\text{In1}(i)=1 \vee \text{In2}(i)=1] \text{ iff } \text{Out}(i)=1\}$$

- U(i): *No Constraint*



$$\begin{aligned} X \in \{1,0\} \quad & X=1 \vee X=0 \\ & \neg[X=1 \wedge X=0] \end{aligned}$$

$$\neg(i=G) \vee \neg(\text{In1}(i)=1) \vee \text{Out}(i)=1$$

$$\neg(i=G) \vee \neg(\text{In2}(i)=1) \vee \text{Out}(i)=1$$

$$\neg(i=G) \vee \neg(\text{In1}(i)=0) \vee \neg(\text{In2}(i)=0) \vee \text{Out}(i)=0$$

Outline

- Programs that monitor and control hidden states.
- Consistency-based Diagnosis
 - Encoding diagnoses compactly using kernels.
 - Using conflicts to divide and conquer.

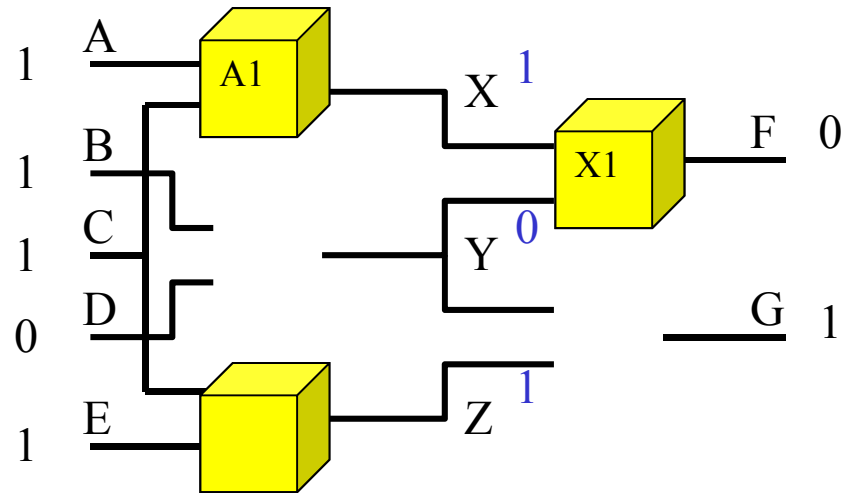
Need Compact Encoding

And(i):

G(i):

Out(i) = In1(i) AND In2(i)

U(i): *No Constraint*



$$D_{\Phi, obs} \equiv \{X \in D_X \mid \exists Y \in D_X \text{ st } \text{Obs} \wedge \Phi(X, Y)\}$$

As more constraints are relaxed, candidates are more easily satisfied.

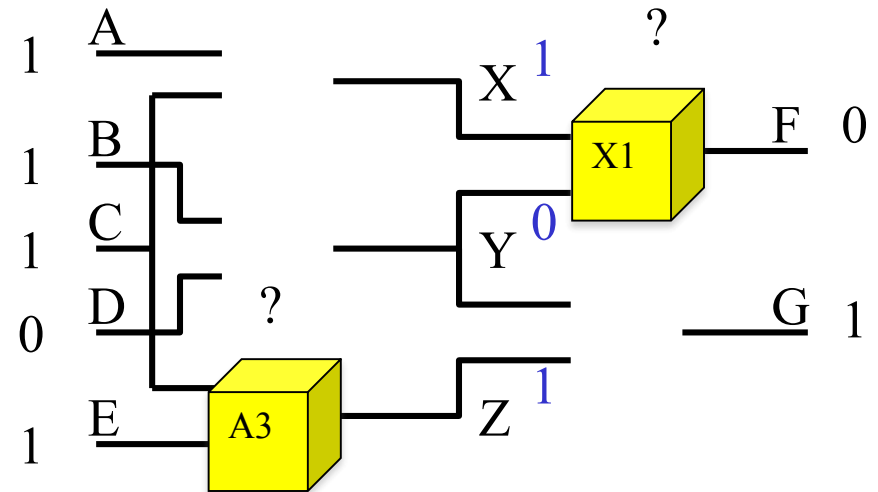
➔ Typically an exponential number of diagnoses (mode estimates).

How do we encode solutions compactly?

Partial Diagnoses

Partial Diagnosis

$\{A1=U, A2=U, X2=U\}$



Partial Diagnosis:

A partial mode assignment M,
all of whose full extensions are diagnoses.

- M “removes all symptoms.”

Diagnoses with common assignments:

$\{A1=U, A2=U, A3=G, X1=G, X2=U\}$

$\{A1=U, A2=U, A3=G, X1=U, X2=U\}$

$\{A1=U, A2=U, A3=U, X1=G, X2=U\}$

$\{A1=U, A2=U, A3=U, X1=U, X2=U\}$

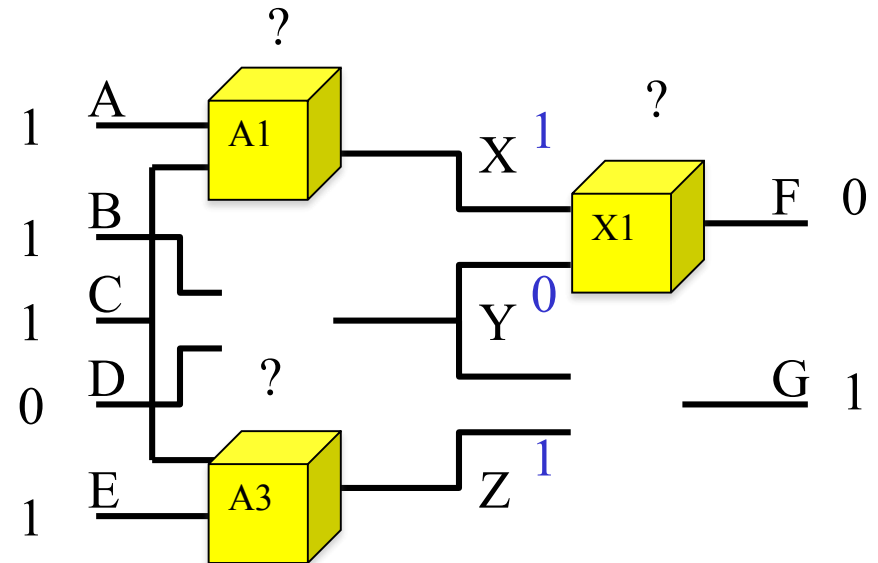
Kernel Diagnoses

Partial Diagnosis

$\{A1=U, A2=U, X2=U\}$

Kernel Diagnosis

$\{A2=U, X2=U\}$



Partial Diagnosis:

A partial mode assignment M, all of whose full extensions are diagnoses.

Kernel Diagnosis:

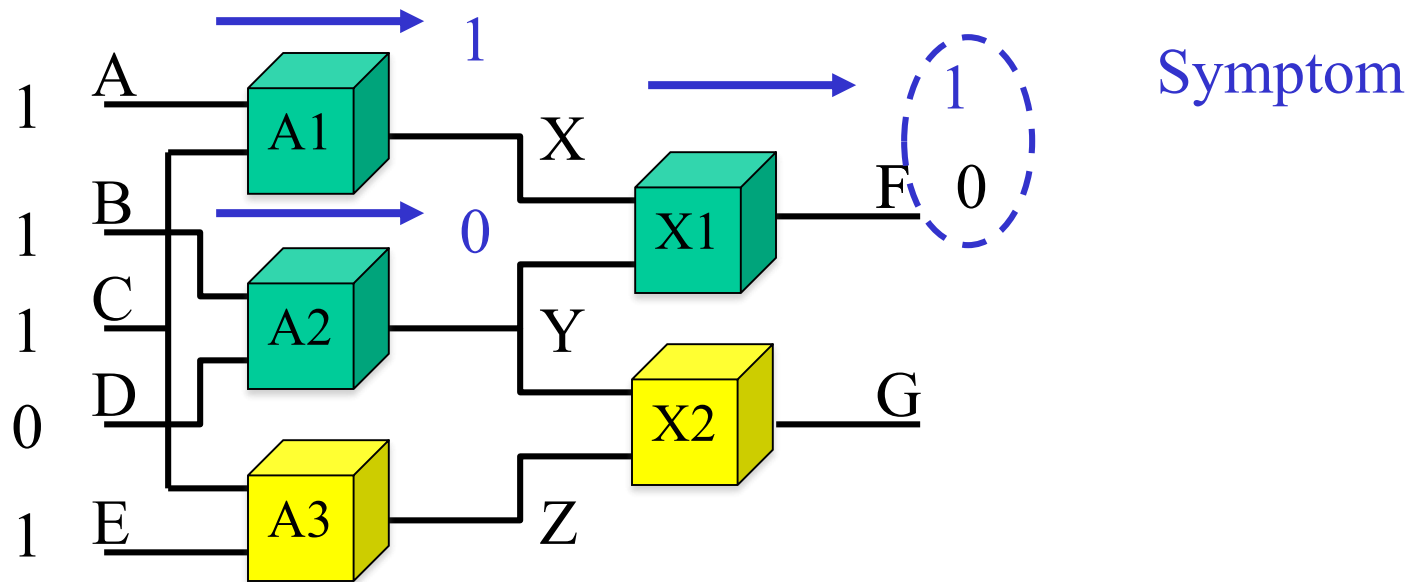
The smallest partial diagnoses.

A partial diagnosis K, no subset of which is a partial diagnosis.

Outline

- Programs that monitor and control hidden states.
- Consistency-based Diagnosis
 - Encoding diagnoses compactly using kernels.
 - Using conflicts to divide and conquer.

Conflicts Explain How to Remove Symptoms



Symptom:

F is observed 0, but predicted to be 1 if A1, A2 and X1 are okay.

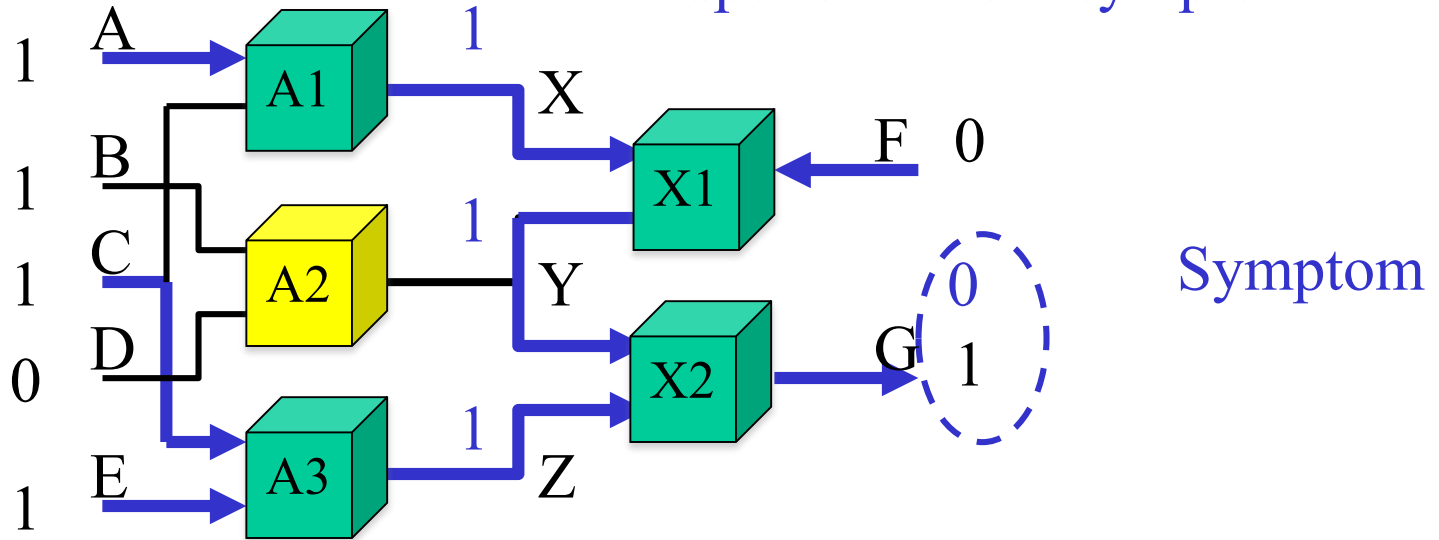
Conflict 1: $\{A1=G, A2=G, X1=G\}$ is inconsistent.

→ One of A1, A2 or X1 must be broken.

Conflict: An inconsistent partial assignment to mode variables X.

Second Conflict

Conflicting modes aren't always upstream from symptom.

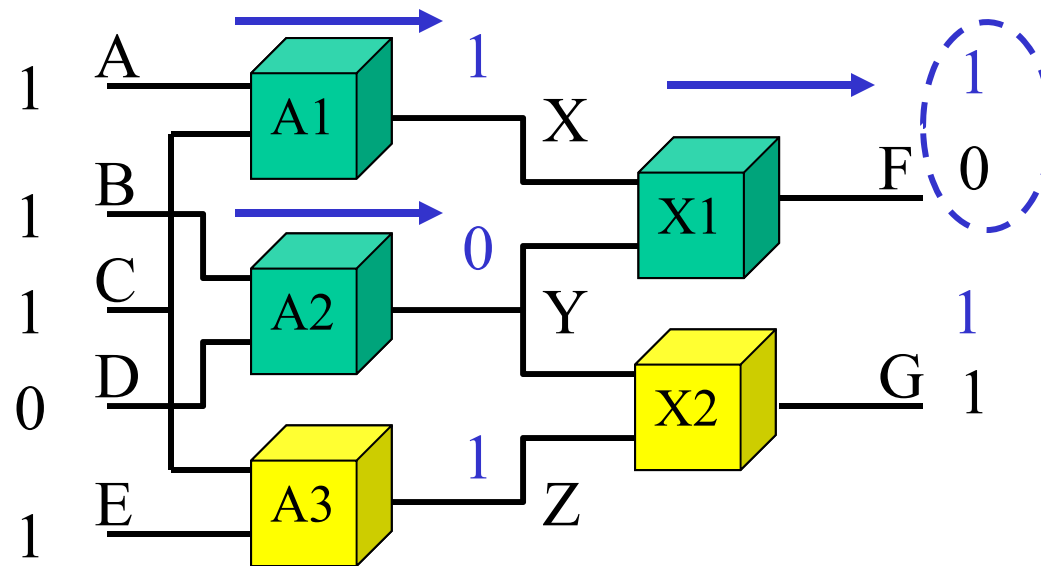


Symptom: G is observed 1, but predicted 0.

Conflict 2: $\{A1=G, A3=G, X1=G, X2=G\}$ is inconsistent.

→ One of A1, A3, X1 or X2 must be broken.

Summary: Conflicts



Conflict: A partial mode assignment M that is inconsistent with the model and observations.

Properties:

- Every superset of a conflict is a conflict.
- Only need conflicts that are minimal under subset.
- $\Phi \wedge Obs$ implies $\neg M$

Diagnosis by Divide and Conquer

Given model Φ and observations Obs,

1. Find all symptoms.
 2. Diagnose each symptom separately
(each generates a **conflict**).
 3. Merge diagnoses
(set covering \rightarrow **kernel diagnoses**).
- } Conflict
} Recognition
} Candidate
} Generation

General Diagnostic Engine
[de Kleer & Williams, 87]

Summary: Mode Estimation

Given:

- Mode, State, Observation Variables: $X, Y, \text{ and } O \subseteq Y$
- Obs = an assignment to O
- Model: $\Phi(X, Y) = \text{components} + \text{structure}$

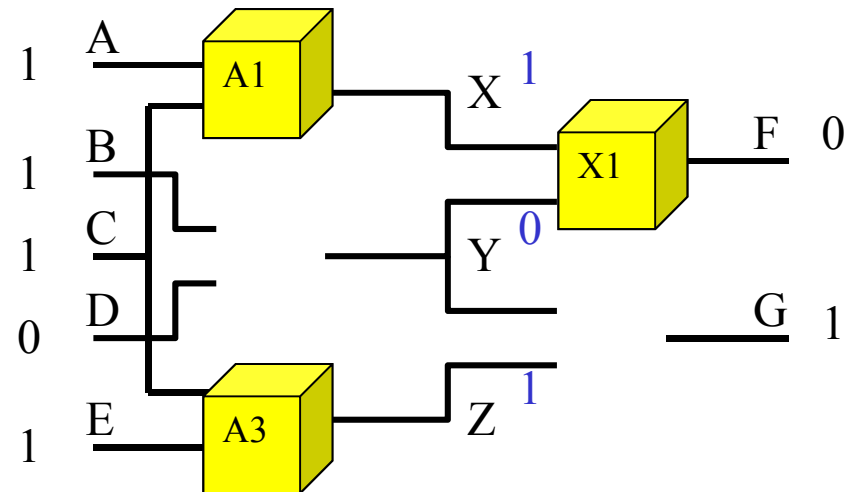
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U(i): *No Constraint*

- All behaviors are associated with modes.
- All components have “unknown Mode” U, whose assignment is never mentioned in any constraint.



Return: All mode estimates

$$M_{\Phi, obs} \equiv \{X \in D_X \mid \text{Obs} \wedge \Phi(X, Y) \text{ is satisfiable}\}$$

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